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Thursday, 21 August 1947

INTERNATIONAL MILITARY TRIBUNAL
FOR THE FAR EAST
Court House of the Tribunal
War Ministry Building
Tokyo, Japan

The Tribunal met, pursuant to adjournment, at 0930.

Appearances:

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For the Tribunal, all Members sitting, with the exception of: HONORABLE JUSTICE I. M. ZARAYANOV, Member from the USSR., not sitting from 0930 to 1600.

For the Prosecution Section, same as before. For the Defense Section, same as before.

(English to Japanese and Japanese to English interpretation was made by the Language Section, INTFE.)

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Reichers & Kapleau

MARSHAL OF THE COURT: The International
Military Tribunal for the Far East is now in session.
THE PRESIDENT: Major Moore.

LANGUAGE ARBITER (Major Moore): If the Tribunal please, we present the following language corrections:

Record page 1626, line 8, substitute "stop" for "abandon."

Record page 1627, line 9, substitute "stop" for "abandon"; line 13, substitute "stopping" for "abandoning"; line 15, substitute "stop" for "abandon."

Record page 19,885, line 14, read the placename "Liu-t'iau-kou."

THE PRESIDENT: Mr. Blewett.

MR. BLEWETT: The evidence relating to the Mandated Islands and the participation of the Japanese Navy in the Pacific War, which is next in logical sequence, will now be presented by Mr. John G. Brannon, American Counsel for Admiral SHIMADA, and by Mr. Samuel A. Roberts, American Counsel for Vice-Admiral OKA.

Mr. Brannon will read the opening statement. THE PRESIDENT: Mr. Brannon.

MR. BRANNON: There have been two opening statements processed. The correct one should have the

word "revised" written upon it, your Honor.

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We come now to that portion of the defense which treats of matters particularly within the know-ledge of the Japanese Navy. The evidence to follow answers the prosecution allegations and evidence relative to naval organization and education, the Washington and London Naval Treaties, the Mandated Islands and naval preparations for the Pacific War.

From time to time the Indictment speaks of the military, the civilian and naval elements. It specifically charges that the military and naval groups of Japan sought to increase their influence and control over Japanese government officials and agencies and to psychologically prepare Japanese public opinion for aggressive warfare through the teaching of nationalistic policies of expansion and the dissemination of war propaganda. Therefore, since the Prosecution has elected to treat of the Navy and naval preparations for war as a separate subject, we too have chosen to present a sub-topic of the Pacific Fnase which seeks to answer and explain many of the charges thus made. The matters to be presented enure to the benefit of all of the accused in that they strike at the broad and sweeping conspiracy charges while at the same time answering other specific allegations in the Indictment.

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In Section 6, Appendix A of the Indictment, it is charged, among other matters, that the Japanese Navy was able to exert strong control over the Government by virtue of a right to appoint and withhold the Navy Minister, thereby either preventing a government from being formed or bringing about its downfall after formation. In conjunction, it is charged that in May, 1936, a regulation was promulgated which restricted the occupancy of the Navy Ministry to senior officers on the active list. The defense will show that the Japanese Navy by teaching and custom was reluctant to engage in matters of a political nature. The prosecution has failed to show that any cabinet in the history of Japan was either prevented from being formed or caused to fall after formation as a result of the Navy either refusing to appoint a minister or withdrawing him. The defense will further show that not only was this never done, but no attempt or threat to do so was ever inferred or implied. Further, long before 1936, the date of the regulation deemed so important by the prosecution, it will be clearly revealed that the Navy Minister was always a senior officer on the active list.

The averment is made, in the same section of

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tan and catholic viewpoint. The commanding officers and those senior officers upon whose shoulders rested the vital decisions of the Navy were well rounded, highly educated and thoroughly competent men. This may be partly the answer to the fact that the Navy consistently exercised a restraining influence on the military of Japan.

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There are several reasons why the Tribunal should fully understand the organizational characteristics of the Japanese Navy. A chart will be offered in evidence which clearly reveals the chain of command and illustrates the two great categories known as the Navy Ministry and the General Staff. Both the Navy Ministry and the General Staff were headed by men whose appointment came from the Emperor. There was an overlapping and some concurrence in many of their powers, but fundamentally the Navy Ministry was relegated to the matters of administration, budget and personnel. Whereas, on the other hand, the Naval General Staff, not differing from that branch as exists in other countries, was the fighting unit centralizing its efforts in the planning and preparations and execution of strategic warfare. The part played by the Combined Fleet owes its direction specifically to the General Staff. The words following, "to which it was directly

subordinated," should be stricken. Actually, therefore, the attack of December 7 and 8 in the Pacific
"Tar was an operative movement under the auspices of
the Naval General Staff and fundamentally without the
direct scope of the Navy Ministry.

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Evidence will be offered to show exactly how a Japanese naval officer advances in rank, and the Tribunal will be called upon to recognize the differences between a political group wherein promotion is a result of partisan politics, usually accompanied by intricate inner maneuvering, and a military organization such as the Navy, where the system of advancement to higher rank is quite a different process. Unlike a political organization where an individual might rise over night to a position of influence according to the dictate of current events or the plotting and planning of his constituents, it will be shown that in the Japanese Navy an officer may have found himself in a certain high naval position during a certain vital period of time, not as a result of personal ambition, political achievement, or the currying of favor, but rather as the normal function of a system of promotion compatible with ability and achievement in line of duty. It becomes impossible and even ridiculous to conclude that his membership in the Navy is the

result of a conspiracy and that consequently his assumption of a high-ranking office exposes him to such a charge. That a good soldier and a good sailor accepts his assignment no matter how high in compliance with orders and the established dictate and custom of the honorable profession of arms finds its precedence in internationally recognized military tradition.

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The prosecution sought to show general Japanese naval preparations for war by dividing their approach into two separate categories. The first, being Japanese action relative to the abrogation of the Washington Naval Treaty, and the second, actions taken by the Navy after that date. The defense feels fortunate in having as its witness relative to the Japanese position in regard to naval disarmament one of the few remaining naval experts alive today who personally attended all of the conferences dating back to the first in Washington in 1922. Since we have restricted all of our evidence to the testimony of this one witness, his affidavit is necessarily lengthy, but since it is the only evidence on this point and is based upon factual events and not personal opinion, we feel this method of procedure is actually a time-saving device.

In Appendix A, Section 5, Paragraph (a) of the Indictment it is charged that "about 29 December

1934 Japan denounced the Washington Naval Treaty after an unsuccessful attempt to persuade the other signatories to agree to a common upper limit of global tonnage for her own obvious advantage." The evidence to be adduced will disclose that the Japanese concept of the "ashington Naval Treaty was that, as an encumberance on aggression, it was of little value if not actually retrogressive in nature. Hence if real disarmament was honestly desired by the great powers of the world, the Japanese plan held forth the real solution. Obviously it is true that strongly interwoven in the reasoning behind Japanese objections to the then prevailing provisions of the "Mashington Naval Treaty was the fact that Japan was an island nation surrounded by water and depending strongly upon her naval strength to safeguard her national security. And it is further true that there existed in the hearts and minds of the Japanese people a desire for recognition as a first rate power with the right to provide a Navy paralleling their needs for security. In retrospection it becomes quite possible to impute evil motives to acts founded on the best of intentions. Hence the lengthy testimony of the witness on this matter, while not exhaustive, is extremely comprehensive and will reveal to the Tribunal the conduct and

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thinking of the other powers who attended the dis-
     cussion groups at those conferences in relation to the
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    Japanese views.
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In Section 5, Appendix A, Paragraph (c) of the Indictment, it is charged that Japan continually and progressively fortified the islands for which she held a Mandate from the League of Nations. This is a serious allegation with heavy implications demanding adequate reply. In the wake of prosecution evidence which consisted largely of paper testimony of illiterate natives of the various islands, the defense will produce a number of witnesses who will testify personally as to the exact condition of the Mandated Islands during the period in controversy. The prosecution produced the purported statement of one Japanese citizen relative to conditions on the island of Saipan. Although this witness resided in Japan and could have been produced by the prosecution for personal testimony, such procedure was not adopted and the defense has chosen to supply that omission by bringing the witness into court. His purported statement, as offered by the prosecution, is so vastly different from that of his oral testimony that he must either be challenged as usurping the truth, or the falsity of the prosecution evidence will stand as a glaring example of the disadvantage of accepting paper testimony in place of the witness in the box.

The gist of the evidence to be presented

reveals that the Mandated Islands were not fortified

prior to commencement of hostilities. This is a strange
and remarkable evidence of self-control on the part of
the Japanese Navy, for the very explosive nature of
the critical situation of world affairs then existing,
together with the drastic evolution of modern warfare,
rendered daily more obsolete and unthinkable in the
minds of military men the plausibility of strict obeyance of the Mandate Covenant. No one can deny the
military importance of these strategically located
bits of land in the Pacific and in face of past evidence offered this Tribunal of allied fortification
of other territories in the Pacific, it will be submitted that Japanese inactivity in regard to fortifications was a most admirable example of self-restraint.

Prosecution evidence of a blueprint map of

Wotje Island, together with an aerial reconnaisance

photograph taken shortly after the commencement of war,

was introduced for the purpose of proving, through inference, construction of fortifications on that island

prior to commencement of hostilities. The defense

will produce the man who drafted the blueprint. It

will then be revealed that this draft was not of the

nature from which constructions could be built but in

reality was a plan map upon which a construction map

could have been drafted, and was used as a possible basis for future plans in event of war. In looking into the unpredictable future and for the purpose of obtaining necessary budget allowances, the Japanese Navy possessed many such plans and it will be submitted that had they not done so they would have been derelict in their duty relative to providing a potential naval defense for their country. Of course, the Tribunal will have to distinguish between civilian, commercial or cultural developments which are capable of being used by the military during wartime from those constructions which are solely and purely of a military nature and which unquestionably can be identified as fortifications.

Evidence thus far adduced has shown that there existed a cleavage of thought between the Japanese Army and Navy relative to the matter of continuation of negotiations with the United States toward the solution of difficulties by pacific means. But this is not to say that the Navy did not feel it was requisite that they be prepared for the eventuality of war. It is charged in Appendix A, Section 7 that "from 1936 to 1945 close military, naval, economic and diplomatic cooperation and exchange of information were maintained between the above three

countries (Japan, Germany and Italy). At the request of Germany, Japan from the beginning of the war on December 7th and 8th, 1941, adopted the German policy of ruthless submarine warfare and the destruction of crews of ships sunk or captured." In answer to this charge and speaking purely from the naval viewpoint 7 it will be shown that there was no cooperation between 8 Germany and Japan which should mark the efforts of countries allied in a war effort. The true facts to be revealed will definitely show that the anti-German attitude of the Japanese Navy prevailing from prior to the signature of the Tri-Partite Pact until the end of hostilit as expressed itself in an outright refusal to cooperate with Germany. Japan at no time accepted the German policy of submarine warfare. Japan sought to confine the activities of its underseas craft to destruction of allied war vessels and not commercial shipping and supply lines. This was the great principle in dispute between Germany and Japan. evidence will be that rather than Japan adopting a policy of ruthless submarine warfare, the United States from the beginning of hostilities pursued the program of unrestricted submarine warfare with a results so devastating as to be largely responsible for the final capitulation of Japan,

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It is charged in Section 5 of the same portion of the Indictment that at all times and especially throughout 1941 she (Japan) made secret naval plans for the surprise attacks which ultimately took place. Evidence will be offered in the nature of the testimony of Japanese naval officers who participated in the drafting of war plans which enunciate the definite fact that preparations for war against the United States, Great Britain and the Netherlands were not put into effect until late in the year 1941 and after diplomatic negotiations had all but exhausted themselves and offered no promise of settling the vital issues then existing. It was at this time, the closing days of 1941, that Japanese military leaders were faced with the problem of preparing for war. The ever-increasing military strength of the United States and Great Britain was secondary only to the dwindling military potential of Japan. With its source of oil supply all but depleted the Japanese Navy realized that it was but a matter of months before the allies would be able to dictate their will to Japan, rather than request it over the diplomatic table. Therefore, if the military and navy was to be called upon to exercise its profession of arms in seeking a solution to the situation, time was of the essence.

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It is not a treacherous or duplicatious event

that the navy instigated precautionary procedures relative to preparing for hostilities at the same time that diplomatic negotiations were in progress. Other nations of the world shared this lack of confidence in the successful termination of diplomatic negotiations as well, for they too were preparing for the eventuality of war. Therefore, preparation, in and of itself, can not be construed as evil or as a conspiracy for aggressive war, as charged by the prosecution. Evidence will be presented showing the relative strengths of the Japanese and American Navies, their personnel and the budget appropriations then existing. Further evidence will be given of the fighting vessels under construction and comparison made between the United States and Japan. The Tribunal will be called upon to view the existing naval strength of the Western Powers and also the omnipotent factor presenting itself to the Japanese in face of the everincreasing naval potential of the United States.

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The evidence will reveal it was a case of the midget striking the giant in order to defend himself. And it will be submitted that it is a recognizable fact that Japan would not have sought to bite off more than it could chew unless it felt itself in a desperate and almost hopeless situation.

Prior to the institution of hostilities December

7th and 8th, the evidence will reveal that Japanese naval leaders fully expected proper notice complying with the provisions of international law would be given the United States. Proving beyond question the reluctance of naval leaders to preclude the possibility of a peaceful settlement of affairs is the fact that included in General Order Number 1, the over-all military movement that sounded open conflict, was the all-important provision that the fleet was to return, even at the last minute, in the event negotiations between the United States and Japan took a turn for the better and promised hope of settlement. Therefore, since the navy is considered as a portion of the military and a component part of the Japanese Government itself, it will at the proper time and upon summation be submitted that between the accused in the dock there existed no accord, no sameness of political philosophy and no cooperation that rivaled or excelled the great war efforts of other powers during this tragic era of modern history.

Mr. Roberts will proceed.

THE PRESIDENT: Mr. Roberts.

MR. ROBERTS: We offer in evidence defense document No. 1973, which is the affidavit of Former Admiral SAWAMOTO, Yorio, wartime Vice-Minister of Navy, and

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whose long experience in administrative affairs of the Japanese navy qualifies him to testify authoritatively concerning the organization of the Japanese navy with special reference to the division of duties between the Navy Ministry and the Naval General Staff.

At the same time we offer in evidence defense document 1958, which is a specially-prepared chart showing graphically the relation of the Emperor, the Cabinet, the Navy Ministry and the Naval General Staff, with subordinate offices. This is offered to assist the Tribunal in following the testimony of the witness SAWAMOTO.

I call the witness SAWAMOTO.

YORIO SAWAMOTO, called as a witness in half of the defence, being first duly sworn, testified through Japanese interpreters as follows:

DIRECT EXAMINATION

BY MR. ROBERTS:

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Q Please state your name and address.

A SAWAMOTO, Yorio, 110 Takinokizaka, Meguro-ku, Tokyo.

MR. ROBERTS: May the witness be shown defense document No. 1973?

(Whereupon, a document was handed to the witness.)

Q Please examine this document and state whether
or not it is your sworn affidavit.
A This is my affidavit.
MR. ROBERTS: I offer in evidence defense doc-
ument 1973.
THE PRESIDENT: Do you swear the contents
to be true?
THE WITNESS: I do.
MR. ROBERTS: May it be marked, please?
THE PRESIDENT: Admitted on the usual terms.
THE CLERK OF THE COURT: Defense document 197
will receive defense exhibit No. 2980.
(Whereupon, the document above re-
ferred to was marked defense exhibit No. 2980
and received in evidence.)
MR. ROBERTS: I read Exhibit 2980.
THE PRESIDENT: What about the annexure?
MR. ROBERTS: I request also that the map
be marked in evidence at this time.
THE PRESIDENT: Show it to the witness.
(Whereupon a document was handed
to the witness.)
MR. ROBERTS: The map is duly certified and
has the proper certification marked thereon.
THE PRESIDENT: Do you tender it?

MR. ROBERTS: Yes, sir.

THE PRESIDENT: Is there any objection? There is no objection. Admitted on the usual terms.

THE CLERK OF THE COURT: Defense document

1958 will receive defense exhibit number 2981.

(Whereupon, the document above referred to was marked defense exhibit No. 2981 and received in evidence.)

LIR. ROBERTS: I proceed to read Exhibit 2980:

"1. My name is Yorio SAWALIOTO. I served in the Navy from November 1908 (Meiji 41) when I graduated from the Naval Academy until September 1945 (Showa 20) when I was relegated to the reserves as a full admiral.

"During that period I participated in work connected with naval organization in the capacity of
First Section Chief of the Naval Affairs Bureau of the
Navy Ministry (at the time as a captain) from August
1929 (Showa 4) until November 1932 (Showa 7). Further
I held the following posts in Tokyo during my years of
service:

"From August 1921 (Taisho 10) until June 1923 (Taisho 12) - Staff of First Section Naval Affairs Bureau, Navy Ministry (Lieutenant Commander);

"From December 1926 (Taisho 15) until December 1928 (Showa 3) - Staff of First Section, Personnel

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Bureau, Navy Ministry (Commander);
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        "From February 1936 (Showa 11) until November 1937
   (Showa 12) - Chief of General Affairs, Bureau of Naval
   Fleet Activities Headquarters (Rear Admiral);
        "From April 1941 (Showa 16) until July 1944 (Showa
  19) - Navy Vice-Minister (Vice-Admiral - Full Admiral).
            "Besides having held the above posts, I served
  as Commander of the Second China Fleet from October
  1940 (Showa 5) until April 1941 (Showa 16).
           "2. In the Japanese Navy, naval administra-
tion fell under the authority of the Navy Minister,
12 while the Chief of the Naval General Staff disposed of
13 matters concerning naval operations. Naval adminis-
14 tration and supreme command were classified according
15 to organization. Both the Navy Minister and the Chief
16 of the Naval General Staff were directly subordinate
to the Emperor, so that there was no question of the
  one being superior or subordinate to the other.
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           "3. The Navy Minister controlled naval admin-
  istration and supervised all matters concerning naval
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  officers and naval civilians. The office of Navy
  linister was that of a civilian official and his
  appointment and dismissal were decided by a report to
  the Throne by the Prime Minister in similar fashion
 with those of the other ministers. It was customary,
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however, for the outgoing Navy Minister to nominate a successor and recommend him to the Prime Minister. The Prime Minister, acting on his recommendation, then took the usual procedure of appointment.

"The appointment of the Chief of the Naval
Affairs Bureau followed the same procedure as those of
other Bureau Chiefs of the Navy Ministry and the
Navy Minister had complete control of same.

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Navy Minister, Parliamentary Vice-Minister, Vice-Minister, Councillors, the Ministerial Secretariat, the Naval Affairs Bureau, the Mobilization Bureau, the Personnel Bureau, the Educational Bureau, the Bureau of Stores, the Medical Bureau, the Bureau of Accounts and Suprlies and the Judicial Affairs Bureau. The Naval Parliamentary Vice-Minister, Vice-Minister and Councillors and the Ministerial Secretariat were similar to the corresponding offices of the other ministries.

"The Naval Affairs Bureau handled affairs touching naval administration in general, naval armaments, national defense policy, international regulations, general public relation issues, dissemination of naval knowledge, etc.

"The Mobilization Bureau supervised affairs concerning general despatch preparations, national mobilization, supplementing of arms and munitions, sea and land installations and equipment, mobilization of munitions industries, affairs concerning material and resources, shipping port facilities, port service and transportation and protection of trade and labor.

"The Personnel Bureau supervised affairs relative to general personnel appointment, dismissal

SAWAMOTO DIRECT 26,419

and replacement of officers and special service officers, conferment of rank and honors, pensions, relief (support), calling out and wartime mobilization of naval officers, men and civilians and the drafting of enlisted men, etc.

"The Educational Eureau controlled general education and training of the Mavy, acceptance into service of naval students, education of naval reserves, education of extra-naval personnel engaged in maritime pursuits, etc.

"The Bureau of Stores supervised preparation, preservation and supply of requisites for warship management (equipment, consumption of goods) fuel, lubrication oil, clothing, food, etc., as also the regulations and technical knowledge concerning these various items.

"The Medical Affairs Bureau controlled medical affairs and sanitation of the Navy and all education concerning same.

"The Bureau of Accounts and Supplies handled accounts and finance, budget and settlement of accounts and a fairs concerning national property, wage contracts, and original cost calculations.

"The Judicial Affairs Eureau controlled the various regulations concerning naval judicial affairs,

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the judicial police and military prosecution.

"The various bureau chiefs were appointed by the Navy Minister and their duties were to have their respective bureaus completely in hand and to direct and supervise the work of the divisions and sections of their bureaus.

"5. The Naval General Staff disposed of affairs relative to national defense logistics. The Chief of the Naval General Staff was under the direct command of the Emperor and participated in the secrets of the Imperial War Council and controlled the Naval General Staff.

"6. As above shown, the Navy Ministry and the Naval General Staff were organs of different character, though they possessed various common aspects as well.

"For example, naval strength was planned by the Naval General Staff as it constituted the basis of operational planning, but the Navy Ministry demanded 21 the budget and the equipment of military strength was 22 conducted by the Ministry. Hence in planning naval 23 strength it was necessary for the Naval General Staff 24 to consult the Navy Ministry in the first instance.

"The actual procedure was for the Chief of the Naval General Staff to plan affairs concerning

naval strength and to discuss the same with the Navy Minister. The Chief of the Naval General Staff consulted the Navy Minister on the important items of supplementing of naval strength, despatch preparations and national defense logistics while the Navy Ministry discussed expenditure necessary for the execution of these measures with the Naval General Staff. Further, planning and execution of operations were under exclusive charge of the Naval General Staff, so that the Navy Ministry could have no part therein. Consequently, it was impossible for the Navy Ministry to discuss the pros and cons of operation plans. But depending on the circumstances relative to preparations for operations for which the Navy Ministry was responsible, the Naval General Staff might be forced to change its plans of its own accord.

"In the event of war or of hostilities (incidents), if necessity demanded, the Imperial General Headquarters was established. In face of the China Incident, the Imperial General Headquarters was established in November 1937 and was continued throughout the Pacific War. The Imperial General Headquarters was composed of the Supreme Command organs of the Army and Navy, and its internal organization was clearly divided into the two departments of the Army and Navy.

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The Chiefs of the Army General Staff and the Naval General Staff were both Chiefs of Staff and of equal rank and were the highest responsible persons for the operations of their respective forces. Consequently the responsibilities of their work were clearly divided. These organizations related to the Army and Navy were respectively called the Imperial General Headquarters Army Division and the Imperial General Headquarters Navy Division.

"In other words the Imperial General Headquarters was composed of an Army Division and a Navy Division. However, the Army and Navy Staff Division which formed the nucleus of the Imperial General Headquarters were actually the Army General Staff and the Naval General Staff respectively, and the two were completely independent of each other. Consequently, in the execution of duties, on operations which concerned both the Army and the Navy, joint discussions and exchanges of information were effected; but on matters which did not concern both branches, there were no consultations, and orders were issued and executed independently. There were two types of Imperial General Headquarters orders - the 'Imperial General Headquarters Army Order' and the 'Imperial General Headquarters Navy Order! - those related to

the Army belonging to the former category, and those 1 related to the Navy forming the latter. Even when 2 the Imperial General Headquarters was established, as there were no special buildings or offices for it, 4 the Naval General Staff became the Imperial General 5 Headquarters, Naval Division, and a board reading 6 Imperial General Headquarters Naval Division was placed alongside the Naval General Staff sign. When the Imperial General Headquarters were established, the Navy Minister had an office in the Headquarters but he did not thereby become an officer on its staff. Neither did the Navy Ministry thereby become an organ of the Imperial General Headquarters. It goes without saying that the Navy Minister was subordinated to the Chief of the Naval General Staff, who was the Chief of Staff of Imperial General Headquarters for the Navy because of this set-up. The presence of the Navy Minister in the Imperial General Headquarters was for the purpose of controlling the various naval affairs connected with operations. Consequently, though the Navy Minister was able to attend the Imperial General Headquarters conferences he had no voice in the proceedings as a general rule.

"Important naval operations were planned and put into execution at the Imperial General Headquarters

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according to the following procedure. The senior officer of the First Division of the Staff of the Naval Division of the Imperial General Headquarters drafted the plan. After passing in order from the First Section Chief, the First Division Chief and the Vice-Chief of the Headquarters, the Chief submitted it to the Emperor for approval. After the sanction had been granted, orders were communicated to the Commander-in-Chief of the Combined Fleet or to the Commanders-in-Chief of the Fleets and dependent on the nature of the issue, to the commanders of naval and minor naval stations. Consequently, the Navy Minister did not participate in operations plans.

"8. Commanders-in-Chief were allocated to fleets, naval and minor naval stations.

"Commanders-in-Chief of fleets were under the direct orders of the Emperor and assumed command of the Fleet and supervised fleet duties.

"Commanders-in-Chief of naval and minor naval stations were under direct orders of the Emperor and controlled defense of their allotted defense sectors and despatch preparations within their sphere of jurisdiction.

"The several Commanders-in-Chief received orders from the Navy Minister in matters pertaining to

naval administration and instructions from the Chief of the Naval General Staff on matters concerning operational plans.

"The Commander-in-Chief of the Combined Fleet directed the fleets under his command but in matters of naval administration, in items other than those immediately related to the command of the Combined Fleet, the Commanders-in-Chiefs of the several fleets received instructions direct from the Navy Minister.

"The same applied to the case of the Commander-in-Chief of the Fleets.

"It was ruled that administration in occupied areas was to be controlled by the several commanders-in-chief under the orders of the Navy Minister. The Combined Fleet Commander-in-Chief did not participate in the above administration."

You may cross-examine.

THE PRESIDENT: Mr. Tavenner.

MR. TAVENNER: If the Tribunal please, as to the statement of the witness on page 5 that the Navy Minister had no voice in the proceedings as a general rule and on page 6 that he did not participate in operation plans, reference is made for further explanation of that situation to prosecution exhibit 1979-A,

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SAWAMOTO 26,426

page 14,293 of the transcript, an excerpt from the interrogation of the accused TOJO.

The Tribunal's attention is also called to
the fact that the next document on the order list is
defense document No. 1647, an official document of
the Japanese government entitled rules regarding
contact between the Navy Ministry and Naval General
Staff. As these regulations deal specifically with
many of the matters mentioned in this affidavit the
prosecution will rely upon those regulations, and if
the defense does not introduce the document the prosecution will.

We do not desire to conduct cross-examination. THE PRESIDENT: Mr. Roberts.

MR. ROBERTS: Answering the last remarks of the prosecutor I merely wish to state that this witness was called because he is an expert in procedure and was relied upon to outline the exact procedure that was followed.

THE PRESIDENT: If the procedure is stated in written rules we require to see the procedure in the rules themselves, but we must rely on one side or the other to produce them.

MR. ROBERTS: That is why we have produced and that is on our order of proof as the next document, but

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I simply want to point out that there are cases where there have been deviations from these prescribed regulations and that is why we asked the witness to point out what the procedure was.

There are no further questions as far as this witness is concerned. We ask that he be released upon the usual terms.

THE PRESIDENT: In paragraph 6 the witness refers to occupied countries, last paragraph, page 6. Could be give a further explanation of what he means? BY MR. ROBERTS:

Perhaps I will read that one paragraph, that is, the sentence in which the statement is contained. It is stated in your affidavit as follows: "It was ruled that administration in occupied areas was to be controlled by the several commanders-in-chief under the orders of the Navy Minister. The Combined Fleet Commander-in-Chief did not participate in the above administration."

Will you explain that further, please?

A My meaning is this. After the administration of occupied areas the various commanders in chief in those areas administered the occupation in accordance with instructions from the Navy Minister; however, the

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commander in chief of the combined fleet had nothing 1 to do with this. That refers to administration and not to 3 operations plans, is that correct? 4 Yes, that is so. 5 And, of course, applies only to naval 6 personnel, is that correct? 7 MR. TAVENNER: Objection. 8 THE PRESIDENT: Yes, Mr. Tavenner. 9 MR. TAVENNER: Objection is made to the 10 question in that it is grossly leading, puts the words 11 in the mouth of the witness. 12 THE PRESIDENT: Obviously leading; objection 13 upheld. 14 I am asked to inquire what you mean by the 15 words at the beginning of that paragraph, "It has 16 ruled." 17 MR. ROBERTS: "It was ruled." 18 THE PRESIDENT: "It was ruled." 19 THE WITNESS: By that I meant that there were 20 regulations governing this. I believe there were 21 22 probably internal regulations and that provisions made for this in these regulations. 24 BY MR. ROBERTS:

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Q Now, referring again to the last paragraph on

SAWAMOTO 26,429

page 6 you stated that the administration was under orders of the Navy Minister. To what type of personnel did you refer?

MR. TAVENNER: Objection. If the Tribunal please, I must remonstrate against such a grossly leading question. It is repetition merely in a change of form of the other question.

MR. ROBERTS: I am asking him to whom-THE PRESIDENT: It is not necessary to clear
up anything asked by me on behalf of a Member of the
Tribunal. Further examination by you will not be permitted, Mr. Roberts. It is not necessary to explain
anything as a result of an answer to a question put
by the Tribunal.

MR. ROBERTS: The answer given to the question by the Court, I thought, raised a question of doubt.

I wanted to try to clear that up.

THE PRESIDENT: The Court has heard sufficiently. The Court's question did not raise any new difficulty. A clear answer was given. There is nothing for you to clear up.

MR. ROBERTS: May the witness be excused on the usual terms?

THE PRESIDENT: He is excused accordingly.

(Whereupon, the witness was excused.)

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MR. ROBERTS: We offer in evidence defense document 1647 which is an excerpt from the "Rules Governing Business Contacts Between the Navy Ministry and the General Staff." This is offered for the further purpose of showing the Tribunal exactly how the matters relative to the two great divisions of the Navy were assigned and handled.

THE PRESIDENT: Admitted on the usual terms. CLERK OF THE COURT: Defense document 1647 will receive exhibit No. 2982.

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(Whereupon, the document above referred to was marked defense exhibit No. 2982 and received in evidence.)

MR. ROBERTS: We do not desire to read this document, but introduce it, as stated previously, for the guidance of the Court.

THE PRESIDENT: That is sensible.

MR. ROBERTS: It should be read, however, together with the testimony of the witness who just occupied the stand.

We offer in evidence defense document 1648 which is an excerpt from the official document entitled "Imperial Ordinance Concerning the Organization of the Fleet." It is offered for the purpose of explaining the status, duties and function of the Commander-in-Chief of the Combined Fleet revealing that he was directly subordinate to the Emperor being subjugated to the Navy Minister as regards administrative affairs and to the Chief of Naval General Staff as regards the planning of war operations.

THE PRESIDENT: Mr. Tavenner.

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MR. TAVENNER: If the Tribunal please, the prosecution views this document as entirely irrelevant and immaterial. Every nation divides its fleet into squadrons and has a Commander-in-Chief. There is nothing that we observe in this or the succeeding three documents, which also deal with organization matters, which are relevant to any issue in this case.

THE PRESIDENT: I think in the course of the prosecution's case we intimated that we did not want these matters which are common to all countries proved in any detail. That still applies.

MR. ROBERTS: We submit that there is a difference in the Japanese naval setup, and for that purpose want to show the division of responsibility between the Navy Minister and the General Staff.

THE PRESIDENT: So far as there are

of the Commander-in-Chief of the Combined Fleet revealing that he was directly subordinate to the Emperor being subjugated to the Navy Minister as regards administrative affairs and to the Chief of Naval General Staff as regards the planning of war operations.

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THE PRESIDENT: So far as there are

differences and they are material, I think we would all like to know what they are. I understand from a colleague that Article 11 may be material; Article 10 also. MR. ROBERTS: Yes. We only intend to refer to one article or so in each of the documents so that 6 we will not burden the Court with reading the entire 7 document in any case. 8 THE PRESIDENT: As far as we can judge, 9 only Articles 10 and 11 are important. 10 MR. ROBERTS: That is all I intend to read; 11 Article 10, as a matter of fact. Ten and 11; I wish 12 13 to correct that. THE PRESIDENT: The objection is sustained 14 except as regards Articles 10 and 11 which are ad-15 16 mitted on the usual terms. CLERK OF THE COURT: Defense document 1648 17 18 will receive exhibit No. 2983. 19 (Whereupon, the document above 20 referred to was marked defense exhibit 21 No. 2983 and received in evidence.) 22 MR. ROBERTS: I read exhibit No. 2983: 23 "Art. 10. The Commander-in-Chief of the 24 Combined Fleet, directly subordinate to the Emperor, 25 shall command the Combined Fleet and superintend

affairs relating to the fleet. The Commander-in-Chief of the Combined Fleet shall receive orders from the Minister of the Navy as regards administrative affairs and directions from the Chief of the Navy General Staff as regards the planning of war operations.

"Art. 11. The commander-in-chief of a fleet, directly subordinate to the Emperor, shall command the fleet under him and direct affairs of his fleet. The commander-in-chief of a fleet shall receive orders from the Minister of the Navy as regards administrative affairs and directions from the Chief of the Navy General Staff as regards the planning of war operations."

We offer in evidence defense document 1671 which is an excerpt from an official document pertaining to the "Ordinance Concerning the Combined Flying Naval Corps." This is offered only for the purpose of showing in Article 4 thereof the Commandant of the Combined Naval Air Command was directly subordinate to the Throne, subject to orders from the Navy Minister regarding administrative matters, and to the Naval General Staff regarding the planning of war operations.

THE PRESIDENT: Admitted as regards

Article 4 only.

CLERK OF THE COURT: Defense document 1671 will receive exhibit No. 2984.

(Where pon, the document above referred to was marked defense exhibit No. 2984 and received in evidence.)

ME. ROBERTS: I read exhibit 2984:

"Article 4. The Combined Naval Air Command shall have a commandant. The commandant directly
subordinate to the throne, shall command the combined naval air command under him. He shall also
be in charge of naval administration subject to
orders from the Minister of the Navy.

"With regard to the planning of war operations, the commandant shall receive directions by from the chief of the Naval General Staff."

Which is an excerpt from the "Laws and Ordinances Concerning the Organization of Naval Stations."

This document also is offered for the purpose of showing the Commanders-in-Chief of naval stations were directly subordinate to the Throne and under the direction of the Minister of Navy as to administrative matters only and under the direction of the Chief of Naval General Staff as to the planning of

war operations.

THE PRESIDENT: You have told us enough to dispense with the reading, if we admit the document. 2 Mr. Tavenner. 3 MR. TAVENNER: The prosecution is willing to accept that statement as the substance of the document. THE PRESIDENT: The document is admitted 7 but will not be read. CLERK OF THE COURT: Defense document 1672 9 will receive exhibit No. 2985. 10 (Whereupon, the document above 11 referred to was marked defense exhibit 12 No. 2985 and received in evidence.) 13 MR. ROBERTS: We offer in evidence defense 14 document 1673 which is more or less identical with defense document 1672 but applying to auxiliary naval stations. This document is cumulative and 17 18 will not be read. THE PRESIDENT: Admitted on the usual terms. 19 20 CLERK OF THE COURT: Defense document 1673 21 will receive exhibit No. 2986. 22 (Whereupon, the document above 23 referred to was marked defense Exhibit No. 24 2986 and received in evidence.) 25 THE PRESIDENT: You are now about to call

a witness, is that so? MR. ROBERTS: A witness; that is right. THE PRESIDENT: We will recess for fifteen minutes. (Whereupon, at 1045, a recess was taken until 1100, after which the proceed-ings were resumed as follows:)

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MARSHAL OF THE COURT: The International Military Tribunal for the Far East is now resumed.

THE PRESIDENT: Mr. Tavenner.

is made that exhibit 2980 be referred to the translation representatives of the Tribunal with regard to one word on page 5, beginning with the second sentence from the end of the first paragraph. The sentence reads: "It goes without saying that the Navy Minister was subordinated." We are --

THE PRESIDENT: "Subordinated" in our copies.

IN. TAVENNEM: We are advised that the word

"not" should appear before the word "subordinated,"

according to the Japanese text.

THE PRESIDENT: We refer it to the Language Section.

IR. ROBERTS: I think that is borne out by the statement on the bottom of page 1 to the same effect.

referred to, the prosecutor called the Court's attention to exhibit 1979, stating that they relied upon that. I have since examined this exhibit and find that it is not at all in variance with anything that was said in the affidavit.

We call as a witness former Vice-Admiral

Mitsumi SHILIZU, whose testimony relates to the method of promotion of Japanese Naval officers and is offered for the purpose of showing that advancement to higher rank and positions of responsibility was purely a matter of naval procedure and nonpolitical in character, therefore offering a contrast to political organizations.

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II I T S U H I S H I H I Z U, called as a witness on behalf of the defense, being first duly sworn, testified through Japanese interpreters as follows:

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DIRECT EXALINATION

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BY IN. ROBERTS:

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Q Please state your name and address.

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A My name is SMIMIZU, Mitsumi; my address, 50 3-chome, Shimo-ma-cho, Setagaya-ku, Tokyo.

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Q May the witness be shown defense document No. 1926?

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Please examine this document and tell us whether or not it is your affidavit.

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A This is my affidavit, without mistake.

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Q Do you swear that the contents are correct and true?

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A I do. They are true and correct.

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III. RODERTS: I offer in evidence defense document No. 1926.

THE PRESIDENT: Admitted on the usual terms.

CLERK OF THE COURT: Defense document 1926

will receive exhibit No. 2987.

(Thereupon, the document above referred to was marked defense exhibit No. 2987 and received in evidence.)

IR. RODDRTS: I read exhibit 2987:

"1. My name is SHIMIZU, Mitsumi. I am a former Vice-Admiral of the Japanese Navy. I occupied the following posts in connection with personnel affairs during the 36 years of my naval service.

From December 1923 till December 1924 (Lieutenant Commander), Staff Officer of the Education Bureau, Navy Ministry. Naval Cadets Selection Committee member.

From December 1931 till April 1934 (Captain), 1st Section Chief of the Personnel Bureau, Navy Ministry.

From December 1936 till December 1938 (Rear-Admiral), Chief of Personnel Bureau, Navy Ministry.

"2. All Naval personnel affairs were handled by the Navy Minister.

"3. Although a naval officer was appointed to the post of Navy Hinister, he was considered a civilian official from the standpoint of the Government

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system. Hence his appointment and dismissal were determined by a Report to the Throne made by the Prime Minister as in the case of other cabinet ministers. In the event of change of the Navy Minister, it was customary for the Premier to request the outgoing Minister of the Mavy to recommend his successor and the latter to name an appropriate candidate from among the officers of the Navy.

"4. The appointment of staff officers, officers who were very closely connected with the Chief of Naval General Staff and the Naval General Staff, was decided by the Minister of the Navy after consultation with the Chief of Naval General Staff. In cases where Imperial sanction was required, the Minister of the Navy directly reported to the Throne for some without approaching the Cabinet.

of the Navy and of the various Bureau Chiefs of the Navy Ministry was conducted as follows: The Personnel Eureau Chief after considering various qualifications such as personality and ability would select three most promising candidates, numbering them in order of merit and would submit the list to the Minister of the Navy. Thereupon, the latter would decide on

the one which he believed was most suited to the office.

"In the selection of the several Bureau Chiefs the opinion of the Vice-Hinister was taken into consideration besides the plan of the Chief of the Personnel Bureau.

"6. It was customary to appoint commandersin-chief of fleets and of minor naval stations
simultaneously with the regular annual personnel
shifts in December. The regular personnel appointments were decided by the Minister of the Navy through
selection of an appointment plan tentatively drafted
by the Chief of Personnel Bureau.

"Special consideration was given in the selection of naval school instructions and others in educational posts, people with abundant common-sense and subline personalities being chosen for these posts.

"7. According to the service table regulations, the commanders of each unit were responsible for the preparation of a service table of his subordinate officers and the submission of same to the Hinister of the Navy through their superior officers of the direct line of command, every August. The Chief of the Personnel Dureau kept in custody and

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informed on details of the personalities and abilities of all the officers of the Navy, drafted and submitted to the Minister of the Navy the Personnel Change Plan of all naval officers on the principle of just and nonpartisan choice of the right man for the right post. The Minister of the Mavy passing his decision on the basis of this plan would result in the issuing of the appointment orders.

"8. Selection for promotion of officers was handled with special care; a promotion conference being held each year in autumn to decide promotions. This Promotion Conference was attended by all the commanders-in-chief of fleets, minor naval stations and important ports and by the admirals designated by the Hinister of the Havy (Fleet Admirals, Hembers of the War Council, Vice-Hinister of the Navy, Vice-Chief of Naval General Staff, Chief of Naval Technical Department, Chief of the Department of Naval Aeronautics, Chief of Naval Affairs Eureau, Chief of Personnel Dureau, Chief of Educational Bureau, etc.) The Minister of the Navy presided at the conference, and after serious deliberation and on the principle of fairness selection for promotion of all naval officers was conducted. The results were

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published in the early part of December.

"9. Personnel of especially high character and qualifications composed the Personnel Bureau of the Navy Ministry.

"Utilizing only the above-mentioned carefully prepared service tables and based on the aim
of choosing the right man for the right job, fairly
and without bias, all appointments were the result
of careful selection and there was no opportunity
given for the formation of factions or cliques.

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"10. It was perceived that from about the time of the l'anchurian Incident a very small group of young naval officers dissatisfied with the times were beginning to harbor revolutionary ideas and were seen to rove in a direction contrary to the soldiers' code. The Navy never for a moment relaxed vigilance of this trend. Most unfortunately, however, the disgraceful incident known as the May 15th Incident occurred in the May of 1932. At the time, the Mavy, fearing that to leave officers who harbored such thoughts on the active list would not only undermine the discipline of the force, but would in the long run jeopardize the very existence of the same and endanger the State, decided to act with a firm hand. Hence it relegated some 30 odd officers to the reserves who were found to be sympathizers of the young officers involved in the incident. Simultaneously the Minister of the Navy issued instructions to all officers of the Navy to abide respectfully by the Imperial Edict to the Armed Forces and not to participate in politics. With the approval of the Minister of the Navy and in place of the Personnel Bureau Chief, who was absent due to illness, I issued instructions to the Navy as a "hole at the Chief of Staff's conference immediately after the incident, the gist

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of which is as follows: 'If there is any officer who now wishes to take part in politics, let him lay down his sword, leave active service and follow his inclination. However versatile a genius he may be, let him leave the active lists at once.'

"As the result of further investigations,
it has however been confirmed that there exist neither
written evidence of the above Navy Minister's
instruction nor of my oral statement made in my capacity as 1st Section Chief of the Personnel Bureau
in the absence of the Personnel Bureau Chief."

You may cross-examine.

THE PRESIDENT: Mr. Tavenner.

CROSS-EXALINATION

BY MR. TAVENNER:

Q Fr. SHIMIZU, were minutes kept of the Promotion Conference meetings?

A Minutes of the Promotion Conferences were kept. However, I believe that the documents of the Navy Ministry were burned during the air raids.

Q Were the matters of dismissal or demotion brought before these same conferences?

A The question of relegating navy officers to the reserve lists was taken up there.

Q In some instances that could be treated as

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a demotion, could it not?

A It would be an extreme form of demotion, since they must leave the active list.

Q So, in appropriate cases this council would also direct the retirement of officials of the navy?

A The conference itself could not direct any such thing, but after obtaining the general approval of all the members of the conference the Minister of the Navy would issue the appointments -- would issue the orders.

Q Was not the same procedure followed in regard to demotion or dismissal from the service?

A Dismissals which were enacted during the year, that is, during the time when the Promotion Conferences were not held, were decided on by the Minister of the Navy every time such dismissal was found necessary without taking it up at the Promotion Conference.

Q How frequently during the year did the Promotion Council or Conference convene?

A Once a year.

Q Specifically, what date?

A It varied according to circumstances from year to year. However, it was usually held towards the end of October or the beginning of November,

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depending on the schedule of the Navy Ministry for that year.

Tell us when it met in the year 1942.

A After the outbrenk of the war in 1941, it was impossible for the commanders of the various ports to come up to Tokyo. Therefore, the Chief of the Personnel Bureau would draw up his own draft and would visit the various commanders at their posts and ask for their approval.

But otherwise, the work of the conference proceeded as formerly; is that true?

I am afraid I cannot understand just what you mean.

I mean, other than to send a request for reports to the commanders in the field, the work of the conference proceeded as usual?

A On the whole, yes.

Now, when was the order for promotions made in the year 1942?

In 1942 I was no longer with the Navy Ministry; therefore, I do not now recall just when.

But orders for promotion were made each year during the period of the war according to the way that you have described to the Tribunal, is that true?

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On the whole, the custom I mentioned was enforced during the war years also. That is to say, the Chief of the Personnel Bureau would draw up a draft plan which would be seen by the Minister of the Navy and then the Chief of the Personnel Bureau would take that plan around to the commanders of the various ports and gain their suggestions and approval.

CROSS

Q Now, on whose recommendation was the Chief of the Naval General Staff appointed, and who were consulted in the making of that appointment?

A Generally speaking, since no one knew when or how the Chief of the Naval General Staff would suddenly become incapacitated, the Chief of the Personnel Bureau always had a draft plan as to whom he considered best to be his successor and usually had obtained the informal approval of the Minister of the Navy. This was the rule during the time that I was Chief of the Personnel Bureau.

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Q Then, after obtaining the approval of the Minister, informally, in the event an appointment should be made was his formal recommendation obtained -- in the event it became necessary to actually appoint a new Chief of the Naval General Staff?

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A In the event it actually became necessary to appoint a new Chief of the Naval General Staff, the Minister of the Navy in accordance with the informal plan would then consult with the outgoing Chief of the Naval General Staff and then appointment would be made.

Q By whom would the appointment be made?

A Since the position of Chief of the Naval General Staff was appointed directly by the Emperor, the appointment ceremony -- the installation ceremony would be held in the palace with the Navy Minister making the formal recommendation.

MR. TAVENNER: There are no further questions.

THE PRESIDENT: I have a couple of questions
on behalf of the Tribunal or Members of it.

BY THE PRESIDENT:

Q Did the promotion conference have authority to dismiss an officer or to demote an officer without trial?

A They could -- it could.

Q Did the Navy Minister take an active part in

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the promotion conferences?

A The Minister of the Navy was the chairman of that conference.

THE PRESIDENT: Mr. Roberts.

MR. ROBERTS: I ask that the witness be excused on the usual terms.

THE PRESIDENT: He is excused on the usual terms.

(Whereupon, the witness was excused.)

MR. ROBERTS: We next offer in evidence defense document No. 1951-D which merely states the First Section of the Personnel Bureau shall handle naval personnel affairs in general. It is not intended to read this document.

THE PRESIDENT: Mr. Tavenner.

MR. TAVENNER: If the Tribunal please, the prosecution views the document as absolutely irrelevant and immaterial, and, even if it is not to be read, it should not be introduced into evidence.

MR. ROBERTS: In accordance with the wish expressed by the Tribunal previously, these are regulations referred to by the witness which, I believe, should be before the Court.

THE PRESIDENT: I cannot see a single word

or a line that throws light on anything that we want to know about. MR. ROBERTS: Simply, in the first part of Article 18 in stating that the First Section shall handle the personnel affairs showing the division of responsibility. THE PRESIDENT: The objection is sustained o and the document rejected. MR. ROBERTS: We offer in evidence defense document 1951-B which is an excerpt from an Imperial 12 Ordinance concerning naval officer promotion for the 13 purpose of indicating to the Tribunal in very brief 14 form the procedure for the promotion of officers. THE PRESIDENT: Admitted on the usual terms; 15 16 but you do not need to read it, do you? MR. ROBERTS: I am not intending to read it. 17 CLERK OF THE COURT: A book entitled "Regulations 18 19 on Naval Fitness, a Report, Volume 2," will receive exhibit No. 2988 for identification only. Defense document 1951-D, being an excerpt therefrom will 22 receive exhibit No. 2988-A. 23 (Whereupon, the document above 24 referred to was marked defense exhibit 25 No. 2988 for identification; the excerpt therefrom being marked defense exhibit

No. 2988-A and received in evidence.) MR. ROBERTS: Ve offer in evidence defense 3 document 1951-A but do not propose to read it. It 4 is also an excerpt from the Regulations Concerning 5 Naval Fitness and indicates a procedure was followed 6 in the Japanese Navy relative to the fitness of an 7 officer for the rank and assignment held not materially 8 different from that of the Navies of other countries. THE PRESIDENT: Mr. Tavenner. 10 MR. TAVENNER: Objection is made on the same 11 ground, if the Tribunal please. It is a compilation of 12 platitudes and ideals with regard to employment of officers, and the fact that it is not to be read is no point to be urged in favor of its admission. 15 MR. ROBERTS: It follows the last document 16 which was admitted and is an excerpt from exhibit No. 17 2988. It is offered for the guidance of the Court and is the last of these documents that will be offered. 19 THE PRESIDENT: The objection is sustained and 20 the document rejected. 21 MR. ROBERTS: We call as our next witness 22 former Vice-Admiral Shikazo YANO who, with thirty years of naval service behind him and occupying important positions in the Educational Bureau of the Navy Ministry, is well qualified to testify concerning the type of

subjects taught in naval schools. This evidence is offered for the purpose of disproving the prosecution allegation that the educational system of the Navy was used to inculcate a spirit of totalitarianism, 5 aggression, desire for war, cruelty and hatred of 6 potential enemies. We offer in evidence defense docu-7 ment 2072, which is his sworn affidavit. THE PRESIDENT: Mr. Roberts, there is no need 3 9 to tell us what the affidavit is about to such an extent. 10 11 12 13 14 17 13 19 20 21 22 23 24

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	SHIKAZO YANO, called as a witness on
1	behalf of the defense, being first duly
2	sworn, testified through Japanese inter-
3	preters as follows:
4	DIRECT EXAMINATION
5	BY MR. ROBERTS:
6	Q Please state your name and address.
7	A My name is YANO, Shikazo. My address is 278,
8	3-chome, Tamagawa, Todoroki-machi, Setagaya-ku, Tokyo.
9	MR. ROBERTS: May the witness be shown defense
10	document No. 2072.
11	(Whereupon, a document was handed to
13	the witness.)
14	Q Please examine this document and tell us
15	whether or not it is your sworn affidavit.
16	A This is my affidavit.
17	Q Do you swear that the contents are correct
18	and true?
19	A I do.
20	MR. ROBERTS: I offer it in evidence.
21	THE PRESIDENT: Admitted on the usual terms.
22	CLERK OF THE COURT: Defense document 2072 will
23.	receive exhibit No. 2989.
24	(Whereupon, the document above re-
25	ferred to was marked defense exhibit No. 2989

MR. ROBERTS: I read exhibit 2989:

"1. My name is Shikazo YANO. I was formerly Vice Admiral in the Japanese Navy with thirty years of naval service. After graduating from the Naval Academy in December 1915 I held various positions including that of Staff Member of the Personnel Bureau of the Navy Ministry, instructor at the Naval Staff College, First Section Chief, Educational Burea, Navy Ministry and from November 1942 until 1944 I held the office of Education Bureau Chief of the Navy Ministry supervising naval education.

"2. I have been informed that the Indictment --"

THE PRESIDENT: Paragraph 2 is most objectionable, Mr. Roberts.

MR. ROBERTS: I didn't quite get the full import of your Honor's remark.

THE PRESIDENT: It is most objectionable.

Paragraph 2 is most objectionable, and you must know it. We do not want him to tell us what is erroneous in the Indictment. We want him to tell us the facts.

MR. ROBERTS: I shall skip paragraph 2 and proceed with paragraph No. 3 and also the last.sent-ence in the affidavit.

"3. Besides elaborate and well designed courses on international law, taught both in the

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were other subjects which were calculated to mold the character and personality of the potential officer. In the first place, great care was taken in the selection of instructors. These men were thoroughly investigated and were required to possess well rounded personalities and moderate and mature judgment. Subjects designed to promote the individuality of the student were given with the consequent result that any subject which would result in a belief in totalitarianism and the like would be diametrically opposed to the educational tradition of the Navy.

the cultivation of the man before the soldier, lectures on morals, psychology and philosophy were delivered toward the end of training the individual to be a model citizen on the basis of reasoning that a good soldier made a good citizen. There was no suggestion of advocacy of teachings of cruelty and hatred of potential enemies or the desire for war. The basic educational policy of the Navy was respectful abidance by the Imperial Edict issued to the Army and Navy with the accompanying interpretation based on the spirit of 'Bushido' which was the code of morals hitherto prevailing among the Samurai Class.

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"Freedom of religion was taught and of course permitted. Therefore, it was possible to be a member of any religious faith and at the same time to be a naval officer. There were no special religious phrases or slogans, for this reason, adopted as educational slogans by the Navy. There was no restraint therefore upon the right of a student to individual thinking.

"5. While the academic subjects of the naval schools followed closely the teachings of those of other countries relative to practical subjects, the Academy laid special stress on four cardinal principles which were loyalty, courtesy, valor and fidelity as indicated in the Imperial Edict. Instructions on each of these were constantly given. Among officers of the Japanese Navy and following historically the practice adopted and patterned after the British Navy, it was the standing tradition that the Japanese Navy and its personnel must at all times observe gentlemanly behavior. In short, the educational policy of the Japanese Navy was reverend abidance by the Imperial Rescript and the embodiment of 'Bushido.' It laid special stress on the observance of justice and humanity and non-transgression of international law."

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You may cross-examine. THE PRESIDENT: Mr. Tavenner. MR. TAVENNER: There will be no crossexamination, if the Tribunal please. MR. ROBERTS: May the witness be excused on the regular terms? THE PRESIDENT: He is excused accordingly. (Whereupon, the witness was excused.) MR. ROBERTS: We call as our next witness 12 Juji ENOMOTO.

1	JUJI ENOMOTO, recalled as a witness on
2	behalf of the defense, having previously been
3	sworn, testified through Japanese interpreters
4	as follows:
5	THE PRESIDENT: You are still on oath.
6	DIRECT EXAMINATION
7	MR. ROBERTS: May the witness be shown
8	defense document No. 1927?
9	(Whereupon, a document was handed
10	to the witness.)
11	BY MR. ROBERTS:
12	Q Please examine that document and tell us
13	whether or not it is your affidavit.
14	(The witness examined the document.)
15	Q Is that your affidavit, Mr. ENOMOTO?
16	A Yes, it is.
17	Q Do you swear that the contents are correct
18	and true?
19	A I do.
20	MR. ROBERTS: I offer it in evidence.
21	THE PRESIDENT: You had better tender it.
22	MR. TAVENNER: If the Tribunal please
23 24	THE PRESIDENT: The affidavit hasn't been
25	tendered.
	MR. TAVENNER: I understood he did.

MR. ROBERTS: Yes, I did offer it in evidence.

MR. TAVENNER: The affidavit, if the Tribunal please, is objected to on the ground that it is gross-ly repetitive, that it attempts to deal at great lengths with matters which have little or no probative value regarding any issue in the case.

On page 3, under Section D, beginning with the second paragraph, a conclusion is expressed which forswears the issue in the case.

Beginning at the top of page 4, we find from there on a lengthy description of the type of education in international law, which does not seem to aid in any issue, it having been testified by the previous witness that education in international law was given.

For these reasons, we think the document is irrelevant.

THE PRESIDENT: It goes into the curriculum in very great detail and quite unnecessarily.

MR. ROBERTS: This witness, whose duties was that of instructor at the naval schools, was familiar with international law and engaged for many years in the Naval Department.

THE PRESIDENT: His background will not induce us to accept a lot of unnecessary material. MR. ROBERTS: The prosecution has alleged that the teaching of totalitarianism was engaged in in the schools and particularly by the Army and Navy, and this testimony was offered for the purpose of showing the emphasis placed on strict observance of international law and the stress laid upon compliance with the rules of warfare, such as the treatment of prisoners, etc.

Although the prosecution has shown no orders or regulations issued from the Navy that could reflect disregard of international law, we wish to go further with affirmative and informative matter and reveal those standing principles pertaining to naval warfare existing and effective during the time some of the accused held office in the Japanese Government and during the periods referred to in the Indictment.

This witness, who taught in the naval college, is qualified to tell this Court exactly what the teachings were in the naval curricula, and the excerpts, set forth as international law in this affidavit, were for the purpose of showing what was taught to the students and not to tell the Court what international law may be.

THE PRESIDENT: All nations have a manual of military law, or a manual of naval law, which includes

the principle rules of international law which are taught to the Armies and Navies. There is no contest about that. This doesn't establish any more.

MR. ROBERTS: If the Court will take judicial notice that these precepts of international law were taught at the Japanese naval college, and then we will be satisfied that this witness' testimony to that effect will be unnecessary.

THE PRESIDENT: I suppose the prosecution's case is not that it was not taught but that it was not acted upon. But, we would like to hear from Mr.

Tavenner with a view to shortening this.

IR. ROBERTS: May I just point out that my reference has been to Section 7 of the Indictment which states that, "The educational systems, civil, military and naval, were used to inculcate a spirit of totalitarianism, aggression, desire for war, cruelty and hatred of potential enemies."

MR. TAVENNER: If the Tribunal please, the prosecution does not contest that the assertion by counsel that international law was taught in the military and naval colleges.

THE PRESIDENT: We are overdue on the recess. We will adjourn until half past one.

(Whereupon, at 1202, a recess was taken.)

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AFTERNOON SESSION

The Tribunal met, pursuant to recess, at

MARSHAL OF THE COURT: The International Nilitary Tribunal for the Far East is now resumed.

THE PRESIDENT: Major Moore.

LANGUAGE ARBITER (Major Moore): If the Tribunal please, exhibit 2980, defense document 1973,

On page 5, line 21, after "was" insert "not."
THE PRESIDENT: Thank you.

Mr. Tavenner.

was referred to the Arbitration Board.

MR. TAVENNER: If the Tribunal please, continuing with my statement before the close of the morning's session, I didn't object to the introduction of evidence regarding international law being taught in military and naval colleges. I objected on the ground that it was repetitive in the form that it appeared in this affidavit and at great and needless length.

THE PRESIDENT: Mr. Roberts.

MR. ROBERTS: It may seem that at certain points there is repetition, but I think the Court will find that the excerpts mentioned are distinct and separate in each case.

There are one or two other items I would like to mention. The aim of this man's testimony is not only to show that the Navy taught international law in its schools, but also to prove that the Navy issued instructions on the proper handling of international problems; and also to show how the Japanese Navy interpreted international law and taught it in its application. And the affidavit further shows that there was a tendency in the Japanese Navy to stress the importance of international law.

THE PRESIDENT: The fact that they taught it is sufficient. The extent to which they stressed it is quite immaterial. In view of the prosecution's admissions, it would appear that this is unnecessary material.

MR. ROBERTS: The prosecution has simply stated that they were objecting because it was repetitive and they haven't admitted anything really as far as the charge contained in the Indictment is concerned.

THE PRESIDENT: By a majority the objection is sustained and the document rejected.

MR. ROBERTS: May we request that this witness step down? If necessary, we may bring him back at a later date.

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THE PRESIDENT: We will discharge him on the usual terms. I don't think it will be necessary to recall him.

(Whereupon, the witness was excused.) MR. ROBERTS: Mr. Brannon will now proceed with additional proof.

THE PRESIDENT: Mr. Brannon.

MR. BRANNON: We come now to treat of the prosecution charge that Japan continually and progressively fortified the Islands for which she held a mandate from the League of Nations, in violation of treaty articles 15, 17, 18, and 31 of the Indictment.

I call the witness Hidemi YOSHIDA.

I wish to notify the Language Section we may deviate from time to time from the prepared running commentary.

THE MONITOR: Mr. Brannon, if you do deviate from the running commentary, will you kindly let us know?

MR. BRANNON: Yes.

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	HIDEMI YOSHIDA, called as a witness on
1	behalf of the defense, being first duly sworn,
2	testified through Japanese interpreters as follows
3	MR. BRANNON: Will the witness please be han-
5	ded defense document 1518?
6	(Whereupon, a document was handed to the
7	witness.)
8	DIRECT EXAMINATION
9	BY MR. BRANNON:
10	Q Do you have the document, Mr. Witness?
11	A Yes.
12	Q Will you state your name, please?
13	A YOSHIDA Hidemi.
14	Q Is this your true affidavit, document 1518?
15	A Yes, it is.
16	MR. BRANNON: We offer in evidence defense
17	document 1518.
18	THE PRESIDENT: Admitted on the usual terms.
19	CLERK OF THE COURT: Defense document 1518
20	will receive exhibit No. 2990.
21	(Whereupon, the document above referred
22	to was marked defense exhibit 2990 and received
23	in evidence.)
24	MR. BRANNON: Omitting the formal portion,
25	I read as follows:

1	HIDEMI YOSHIDA, called as a witness on
1	behalf of the defense, being first duly sworn,
2	testified through Japanese interpreters as follows
3	MR. BRANNON: Will the witness please be han-
4	ded defense document 1518?
5	(Whereupon, a document was handed to the
6	witness.)
7 8	DIRECT EXAMINATION
9	BY MR. BRANNON:
10	Q Do you have the document, Mr. Witness?
11	A Yes.
12	Q Will you state your name, please?
13	A YOSHIDA Hidemi.
14	Q Is this your true affidavit, document 1518?
15	A Yes, it is.
16	MR. BRANNON: We offer in evidence defense
17	document 1518.
18	THE PRESIDENT: Admitted on the usual terms.
19	CLERK OF THE COURT: Defense document 1518
20	will receive exhibit No. 2990.
21	(Whereupon, the document above referred
22	to was marked defense exhibit 2990 and received
23	in evidence.)
24	MR. BRANNON: Omitting the formal portion,
25	I read as follows:

merly a captain in the Japanese Navy and from October 10, 1941 to the end of March, 1945 was assigned to the First Section of the Naval Affairs Bureau in the Navy Ministry. My duties were concerned exclusively with matters relating to naval facilities and equipment including planes, ships and the defense installations on the Mandated Islands. Prior to taking over this position I spent two months with my predecessor, Captain MIWA, now deceased, in concentrated study of all of the intricate details concerned with the work of this office. This involved the study of a tremendous volume of documents which supplied me with a necessary background on the work I was to perform.

of the Naval Affairs Bureau concerning the question of strengthening facilities on the Mandated Islands. It was our contention that the South Sea Islands were so situated geographically as to constitute the bulwark of sea defense for Japan and hence we termed it the first line of defense for our country. We felt that if these islands fell into the hands of an enemy it would have meant certain defeat for Japan. Hence it was but natural that the Navy was desirous of installing on these islands or some of them such military defensive

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measures as would satisfy our need for security. Were it not for treaty restrictions we would have carried out defensive constructions on these islands with no hesitation. This matter was discussed at various occasions and the overwhelming opinion was that we could not place fortifications upon these islands because of the existing treaty restrictions. And weighing the security of our country against the existing treaty restrictions it was definitely concluded that we must remain faithful to the treaty obligations.

"3. Therein lay the most serious problem facing the Navy - namely, the necessity of refraining from installing defensive constructions on the Islands in peace time and yet to be ready for any eventuality during those threatening times. It was along this line and facing this problem that I carried on my study.

"4. The question as to whether certain installations on the Mandated Islands were cultural or industrial in nature, or whether they were military, was sometimes difficult to settle. It is true that many installations capable of full usage during peace times were later converted into war time assets. The South Sea Islands were under the administration of the South Sea Board. The said Board, in 1935, laid down the ten-year

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program for the development of these islands. It was in pursuance of that program that construction of cultural and industrial facilities had been started. The Navy gave its assistance somewhat along the following lines:

"(1) In 1933 the Navy carried out a survey of the farms developed by the Bureau on the islands of Saipan and Pagan to ascertain whether or not they might serve as forced lending fields for planes. Beginning in 1934 the Bureau started program of opening aerial communications between the Islands with the sole view of facilitating rescue work in case of shipwreck, together with assisting fishermen in locating schools of fish, etc. But since the available facilities in that region were quite inadequate, the Bureau came to the Navy for assistance. In response to that request and because the Navy regarded the industrialization of those Islands as materially valuable, the Navy took the part of extending what help it could insofar as it could be done without violating the relevant treaties and without interfering with the Navy's proper function.

"As a matter of fact, even the Navy's efficiency in that direction was at a low ebb, since it was heavily burdened with duties in connection with operations in China, and accordingly little assistance was available. It was only after the China Incident came to more or less of a standstill that we were able to give some assistance in the way of technical materials, labor and transportation.

"I speak from personal knowledge since, as I said before, I made an extensive study of the available records in the office and currently was concerned with the matters of which I speak.

"In this connection, since it dealt with the nature of airfields to be employable for cultural and industrial uses and which could be converted into military aids in the event of war, we carried out a study to determine whether or not the assistance we were to give to the Bureau should be construed as contrary to existing treaty requirements, thereby subjecting us to international criticism. It was our conclusion, as a result of that study, that the conveniences rendered by the Navy for the extension of cultural and industrial projects could not be subject to criticism as purely military installations and therefore not counter to existing treaty limitations. The immediate use to which the aviation facilities were put were purely cultural in nature and there was actual use for them. Therefore, it was the Navy's view that the airfields per se did not constitute military establishments.

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"The over-all picture of these airfields and the progress of their construction, based upon my research into the matter, is shown in the following table which contains the history of thirteen airfields, four of which are seaplane ramps and nine are land facilities.

- "(1) On the island of Palau there was one runway and one ramp. The ramp was started in 1934 and virtually completed in 1936. The runway was started in 1938 and completed in 1940.
- "(2) On the island of Saipan one ramp was started in 1935 and completed the same year. One runway was started in 1934 and completed in 1935.
- "(3) On the island of Pagan one runway was started in 1941 and completed the same year.
- "(4) On the island of Tinian one runway was started either in 1939 or 1940 and the time of completion is unknown to me.
- "(5) On the island of Truk one runway was started in 1935 and completed in 1941. There was one ramp but I do not have the available dates.
- "(6) On the island of Ponape there was one runway started in 1939 and completed in 1941.
- "(7) On the island of Wotje there was one runway started in 1940 and completed in 1941.

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"(8) On the island of Kwajalein there was one runway started in 1940 and completed in 1941.

"(9) On the is and of Jaluit there was one ramp started in 1940 and I do not have available statistics as to its completion.

"(10) On the island of Taroa there was one runway started in 1940 and completed in 1941.

"The term 'completed' as applied to these installations actually means they were virtually completed.

"(2) The South Sea Board sensed insufficiency in harbor facilities as the industrial development of the Islands went apace very rapidly. Therefore, they began harbor construction around 1935 on Saipan and Palau and later on at Ponape."

I believe there is a correction on that in the correction sheet.

"It made some progress on the work of the first two islands but did not get beyond the paper plan stage at Ponape.

"(3) <u>Wireless Stations</u> - These facilities were constructed by the Navy when they first occupied the Island during World War I. And since these installations were absolutely necessary as cultural or industrial assets to the Island, they were turned over to the Bureau for management and supervision. The prin-

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cipal wireless stations were located on Saipan, Truk,
Ponape, Jaluit and Yap.

"(4) Radio Range Stations - In addition to these wireless stations there were a few of these direction finding stations for the purpose of assuring safety of aerial navigation. Even after these facilities had been turned over to the Bureau the Navy continued to supply them from time to time with materials which the Bureau could not obtain from other sources.

"(5) <u>Miscellaneous</u> - Meteorological stations, marine stations, route indicators, light houses, roads, fisheries, etc. on all of these the Bureau had expended considerable effort but had not made much headway.

"As to oil tanks, private oil companies had small tanks ranging from between 1,000 and 2,000 ton capcity on Saipan, Palau, Ponape and Truk, but these tanks were wholly inadequate for supplying our fleet when it was in that region on maneuvers and periodic cruises. For that reason it became advisable for the Navy to give thought to the construction of its own oil tanks.

"(6) Coming down to November of 1941, in my capacity as an expert in charge of such matters, I emphatically state that there was almost nothing on those islands which could be designated as the Navy's

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own facilities, much less qualifying under the terminology of naval bases or fortifications. To present to the Tribunal a perfectly honest and clear picture of the actual situation, I wish to state the following facts:

"(1) Although the Navy had commenced the construction of its own oil tanks on Palau, Saipan and Jaluit around middle of 1939, few, if any, were completed at the time of commencement of war.

"(2) It had originally been intended to make temporary use of facilities belonging to private companies and to the Eureau as resting quarters for warship personnel. But in view of the climatic and other conditions prevailing in the Tropics, it was considered advisable to erect temporary barracks for their use to the extent that we could do so without conflicting with treaty restrictions. We therefore decided to erect a few temporary barracks on Saipan and Palau in the fall of 1940. It was not done with the idea of providing a base, but simply for the convenience of our ships during maneuvers.

"(3) The Navy carried out maneuvers in the region of the South Seas time after time, but the only time that land maneuvers which necessitated carrying guns on to the islands took place was in 1940 and 41.

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At the 1940 maneuvers we used two 8-centimeter guns which were landed on the islands of Truk and Palau for the purpose of giving training in the landing and securing of gun emplacements. Both, however, were removed on completion of the training maneuvers. In the following year, 1941, the maneuvers were continued from spring until autumn. On this occasion the Navy Department placed at the disposal of each of the several forces attached to the Floet several guns of 12-centimeter and lesser caliber for the purpose of carrying out the training meneuvers and making tests as to the capacity of these guns to withstand heat and humidity. In these maneuvers gun bases were erected because of the need of carrying out test firings. Most of these guns had been obtained from naval schools and naval corps where they had been used for instruction purposes. They were old models and proved of little practical use and, according to reports received, these guns were so short in range - between 5,000 and 6,000 meters that they did not even reach the outside of the coral reefs. Prior to the execution of these maneuvers there had been an explanation given to the maneuvering forces by the Naval General Staff and Fleet Headquarters that upon completion of the maneuvers at one place the guns were to be removed and taken to the next place

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where the maneuvers were to be held. It is true that a few of these guns were not removed. The reason for the failure to remove them in most cases lay in the fact that the guns were old and obsolete and not considered of great value. In addition thereto, we desired to make a more thorough check on the condition of the guns after exposure to tropical climatic conditions over a lengthy period of time, and hence they were left exposed to daily rain and other special aspects of the tropic climate.

"(4) Conditions after November 5, 1941: It was on November 5, 1941 that the Navy decided, for the first time, to carry out the construction of defense works on the Mandated Islands and the order to that effect was issued thereafter. It was not until the middle of November that the organization of the construction, procurement of necessary materials, allotment of ships, loading of ships, etc., took place, and it was not until after the middle of the month that the construction corporations left Japan for the Islands.

J. O. Richardson given before this Tribunal relative to the Mandated Islands. Admiral Richardson's statement that the Japanese Navy built naval bases throughout the Islands appears to be based on the fact that the English translation of General Order Number 1 uses the

word "Base" to indicate the supply station which we constructed on Saipan and eight other places for the purpose of supplying our fleet. It is true that the Japanese Navy planned and actually made use of the various islands for the purpose of supplying our fleet in the Pacific operations after war commenced. The method of supplying the ships which was actually contemplated by the Order consisted mainly of having supply ships enter the coral reefs and to have the vessels supplied from the supply ships, rather than from storage on the Island. Consequently, it must be pointed out that we did not have supply facilities completed on these islands prior to the war or at the beginning of the war. At no time prior to November 5, 1941 did we have what could be considered as a supply dump on any of the eight islands.

"In Sub-Division II, Numbers 20 and 21 of his affidavit, Admiral Richardson makes the following statement:

"Paragraph 20: 'At pages 2/76 and 2/78 is an Appended Table 1, giving initial fuel allowances for supply bases. The boiler oil allowance to five of the eight Mandated Island bases totals 46,500 metric tons. Likewise allotted to the Mandate bases are great quantities of aviation fuel, bombs, machine gun ammuni-

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tion, torpedoes and mines. Rations are allotted for 36,000 persons per month at the eight Mandate bases. Large monthly replenishment allowances are tabulated at pages 2/91 and 2/94. Aircraft material for the South Seas area is to be replenished at TRUK, and submarine material at KWAJALEIN.'

"Paragraph 21: 'No doubt Order No. 1 is accurate in applying the term "bases" to these Mandate installations. The materials, the quantities and the areas involved indicate that these naval bases and their equipment for storage, transport, communications, and guns and ammunition had been established on a large scale and over a considerable period of time.'

"It is to be noticed that in Paragraph 20 such words as 'allowances', 'allotted' and 'monthly replenishment allowances' appear but there are no such words as 'storage' or 'storage installations.' However, he arrives at the conclusion that there were bases on these islands and that at those bases the Japanese Navy had been for some considerable period of time constructing storage, transportation, communication and gunnery facilities on a large scale, because the word 'base' appears in Paragraph 21. This conclusion is based on the mismnerstanding that what was indicated in the Appended Table 1 as Initial Allowances and Monthly Replenishment Allow-

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ances, represented supplies that had been stored on the Island. It must therefore be said that since the statement is based on such a serious misunderstanding, the statement that storage facilities had been constructed on a large scale and over a considerable period of time, is contrary to the facts. Actually there is nothing in the Order intended to mean that the figures indicated on the attached table must be stored on hand. The figures merely indicate the program of the Fleet of the amounts that they wish to have at those points without indicating as to the method that was to be employed. Moreover, while those figures indicate quantities that the Fleet was very desirous of having ready, that is not to say that the desired quantities were there.

"Since I had the responsibility for over-all control and direction concerning supply preparations of the various navel materials, I feel that I know better than anyone else the difficulties involved.

These difficulties will become evident when we compare the Fleet needs at the time and the quantities which the Navy actually had on hand. To cite but one instance - according to a study made by an officer in charge of supplies at the Naval Aeronautical Department - the need of 800-kilogram bombs for use by air units stationed at the various points on all fronts was around 2,972.

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However, the number that the Navy actually had of these bombs was only 800 so that there could be only 27% supplied of the amount needed. Moreover, in order to supply those bombs over the widely distributed areas it would have been necessary to have an additional 2,600 bombs in reserve. So, when we consider the actual need plus the reserve need, the supply ratio was only 14%. In the case of aerial torpedoes, the need at commencement of war was 1,859 against which we had only 560. The supply ratio was therefore only 30%. In the case of 20-millimeter machine guns, the need was 9,339 of which we actually had only 515. Upon the commencement of hostilities we had to send from Taiwan supplies to the coast of French Indo-China in connection with the Prince of Wales sinking, and then as soon as that operation was over, to transfer the supplies to the Mandated Islands. It can thus be seen that it was absolutely impossible to satisfy the fleet needs at all the points concerned.

"What I have stated is concerned with the first six months after commencement of hostilities, but if the situation was like that after the war started it was obvious that prior thereto the Navy was even more illy prepared.

"In Chapter 7 of General Order No. 1, under

the topic 'Supply', the following facts are clearly set forth. In the attached Table 1, notice under the table, Note 1:

"Ammunition and Torpedoes are to be loaded on munition ships.' Under the same topic of 'Supply' there is a Paragraph 2 - 'Outline of Supply'. Among sub-topics under that are as follows: Sub-Paragraph (e):

"The various forces will be supplied by supply ships attached to them."

"Sub-paragraph (f): 'If possible, supply bases will not be used to supply other than airplanes and small vessels. In particular, except in unavoidable cases, supply bases will not be used to replenish stocks of supply ships.'

Strike the next sentence as immaterial. Continuing the affidavit:

"These provisions make it absolutely clear that the Order did not contemplate a program of supply from land but rather from supply ships.

"As regards fuel, there is no mention of fueling from tankers in the Order but that is because that
is a matter of common knowledge or common sense in the
Japanese Navy, that supplying of fuel to ships was done
from tankers. In other words, they didn't feel that there
was any need of pointing out that it was to be done from
tankers.

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"Regarding construction of fortifications, Admiral Richardson's statement on that subject appears in Paragraphs 24 to 32 of Sub-Division II under nine items. His evidence there is submitted with the blueprint of Wotje Island and two aerial photographs of the same island. I will leave the explanation of this point to a more suitable person, but I would like to make one comment - namely, that I recall having been told by Captain MIWA at the time of our transfer that there was no airfield on Wotje Island in the summer of 1940. Moreover. after the defense order was issued early in November of 1941 I, desiring to do everything possible for the construction of airfields on that island, called a conference of all persons concerned. On the basis of what I was told by Captain MIWA and from the discussions at that conference, I am certain that the blueprint submitted by Admiral Richardson did not represent the actual situation on the Island at the time but what merely was a plan drawn up for the purpose of study. Further, it appears that Admiral Richardson's statement that the blueprint shows that before 10 August 1940 the Japanese Navy and Government had already created extensive fortifications is not only contrary to fact but is an

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unwarranted conclusion without actual background.

"6. Under date of 17 December 1920, there is a provision in the Versailles Treaty under the heading 'Mandate of Former German Islands North of the Equator.' In Paragraph 4 of that treaty it states:

"There must be no military education of
the natives except for purposes of police within
the Island and for local defense purposes. Moreover
all military and naval construction of naval and
military bases and fortification is prohibited.'

"Since it was the duty of my office to view constructions on the Mandated Islands in keeping with the terms of this treaty, it was decided that even under its provisions the obligation of the Japanese Navy of policing and locally defending the Mandated Islands could not be overlooked. We therefore took the view that we were permitted to carry on military education of the natives for the sole purpose of affording them the opportunity of self-defense and self-protection. While we did not undertake this in pursuance of the above interpretation, the Japanese Navy did plan and carry out cruises for this purpose in the way of patrols and defensive training maneuvers.

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"The Japanese Navy has always drawn a clearcut distinction between 'Kon Kyochi,' translated 'Naval Base' and the word 'Kichi,' translated 'Available Spot.' We use the word 'Naval Base' to designate the naval station or a port having special facilities which make it possible for them to be used as fleet bases. And by 'special facilities' was meant accommodations for certain fleet units, repair facilities and conditions which made it suitable for supplying of weapons, naval supplies, fuel, fresh water and if possible, also for replacement of personnel. Such was our conception of 'Naval Bases' and it corresponds to the term 'Naval Base' as used in England and America. By contrast, the word 'Kichi' translated 'Available Spot' was used in a much lighter sense. This was used to designate places where the Fleet could anchor temporarily or receive certain kinds of supplies or serve as places for forced landings of planes. To such places we apply the term 'Supply Spot,' 'Forced Landing Spot' and just 'Available Spot.' It corresponds to what the Americans and British would call 'Available Spot, ' 'Anchorage.'

"Since as I have stated the facilities on the eight islands mentioned in General Order Number 1

were either seriously or completely lacking in the requirements of a 'Naval Base,' it was a mistake to designate them as 'Naval Bases.' In the Order in question, the word 'Kichi' was used in the sense of 'Available Spot.'

"The word 'Fortifications' originated in the Army and came to be used by the Navy later and at least in the Naval Affairs Bureau we had the following conception of the word 'Fortification.'

The naval conception of 'Fortification' is that it must contain the following essentials:

"(1) It must have armament and fixed defensive facilities with which it can resist and defend itself from enemy attack from land, sea and air.

"(2) It must be defended by land forces.

"Consequently, the facilities I have previously mentioned, namely, such cultural and industrial developments as airfields, wireless stations,
ports and harbors, as well as oil tanks, even constructed for the purpose of supplying fuel for our
vessels on cruises, cannot be characterized as
fortifications.'

Signed "YOSHIDA, Hideo."
You may cross-examine.

THE PRESIDENT: Brigadier Quilliam. CROSS-EXAMINATION

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BY BRIGADIER QUILLIAM:

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Q Witness, I want to refer to only one matter in your affidavit. On page 9, in paragraph 4, you refer to the order issued in November 1941, for the

fortification of the islands.

You know what I am referring to?

A Yes.

Q Will you please tell the Tribunal who issued that order?

A According to my recollection the orders came separately from the Chief of the Naval General Staff and from the Navy Minister.

Q And the Navy Minister was the accused SHIMADA?

A Yes.

Q Will you tell the Tribunal for what period of time those orders had been in existence?

A I am afraid I cannot understand your meaning.

Q How long before November 1941 had those orders been prepared?

A I do not think any such thing was planned before November 5, 1941. The Navy Minister's orders

444	not	come	until	after	the	10th	of	November
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Q But plans had been drawn up, had they not, for some time before November 1941?

A As for us, we had studied the matter as
part of our duties from different angles, and also
had informal plans, draft plans. However, as far
as the Navy Ministry is concerned, written plans had not
been drawn up previously.

Q No, the point is this, Witness: Plans had been under consideration, had they not, for a period of at least two or three years before November 1941?

A When I assumed my post I was told that the General Staff did have, had made investigations into that subject, and that there were many studies on that and also draft plans.

BRIGADIER QUILLIAM: That is all. Thank you.

MR. BRANNON: We ask that the witness be excused on the usual terms.

THE PRESIDENT: He is excused accordingly.

(Whereupon, the witness was excused.)

MR. BRANNON: We call the witness HAYASHI

Hisao.

1	HISAO HAYASHI, called as a witness on
	behalf of the defense, being first duly sworn,
2	testified through Japanese interpreters as
3	follows:
4	DIRECT EXAMINATION
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5	BY MR. BRANNON:
7	Q Will you state your name, please?
8	A HAYASHI Hisao.
9	MR. BRANNON: Will you please hand the
0	witness defense document 1742?
1	Q Is that your affidavit?
2	A It is.
3	Q hre the facts contained therein true and
4	correct?
5	MR. BRANNON: We offer in evidence defense
16	document 1742, the affidavit of HAYASHI Hisao, for
17	the purpose of testifying about conditions on the
18	Islands of Palau and Saipan, both in the Mandated
19	Group.
20	THE PRESIDENT: I did not hear his answer
21	to the last question.
22	MR. BRANNON: I am sorry.
23	e that
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25	affidavit true, Witness?

THE WITNESS: They are.

THE PRESIDENT: Admitted on the usual terms.

CLERK OF THE COURT: Defense document 1742

will receive exhibit No. 2991.

(Whereupon, the document above referred to was marked defense exhibit No. 2991 and received in evidence.)

MR. BRANNON: Omitting the formal parts I read the affidavit of HAYASHI, Hisao:

"1. My name is HAYASHI, Hisao. From August of 1933 to September of 1936 I was Chief of the South Seas Board, which was the administrative organ of the South Seas Mandated Islands, with its main office in Palao.

"2. I state positively that there were no military installations in the South Sea Islands while I held my post there. It is true that there were some harbor constructions and some aeronautic installations. However, they had no military purpose, their only objective being the economic and cultural development of the South Sea Islands.

"3. As soon as I assumed my post, it occurred to me that aeronautic installations were necessary for transportation between Japan proper and the Islands, for discovering schools of fish and for the rescue of the wrecked ships. Just before I went to Palao to take up my post, I talked with Admiral OSUMI, Mineo, then Minister of Navy, about the construction of airfields. He told me that the construction of airfields would better be given up for the present, because it could cause difficulties in the Leauge of Nations. However, since I believed that it

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was absolutely necessary for the development of the South Seas Area, and as it was for no military purpose, I made up my mind that it should by all means be carried out.

"The South Seas Board first constructed a seaplane ramp in Palao, and then one in Saipan. The Board also planned to construct seaplane ramps in other islands. At first we had only two seaplanes which we borrowed from the Navy and operated on a test basis. The construction work on the airfield for land planes was commenced in Saipan in 1934. However it was not completed during my tour of duty.

"4. My idea of the development of the South Seas Area could not easily be fully carried out owing to the small budget of the South Seas Board. So I tried to attain my object by setting up a ten-year plan for the development of the South Sea Islands. This plan was carried out by a Cabinet decision which adopted the report of the Investigation Committee for the Development of the South Sea Islands. This plan had in view a wide range of projects concerning the development of the South Sea Islands and included the following items.

"(1) The development of agriculture, forestry, mining and aquatic products industries is to be

such order by the Foreign Ministry or by the Navy 1 Ministry. 2 "I recall many instances of foreign visitors' 3 calling on me at Palao during my stay there. "(Signed) HAYASHI, Hisao." 5 You may examine. THE PRESIDENT: Brigadier Quilliam. 7 BRIGADIER QUILLIAM: May it please the 8 Tribunal, there will be no cross-examination. 9 THE PRESIDENT: The witness is excused on 10 the usual terms. 11 (Whereupon, the witness was excused.) 12 THE PRESIDENT: Mr. Roberts. 13 MR. ROBERTS: We call as our next witness 14 Shunsuke KONDO. 15 16 SHUNSUKE KONDO, called as a witness on 17 behalf of the defense, being first duly sworn, 18 testified through Japanese interpreters as follows: 19 DIRECT EXAMINATION 20 BY MR. ROBERTS: 21 Please state your name and address. 22 My name is KONDO, Shunsuke. My address: 23 269 1-Chome, Akatsutsumi-machi, Setagaya-ku, Tokyo. 24 MR. ROBERTS: May the witness be shown defense 25 document 1513.

!	Q Please examine that document and tell us
1	whether or not it is your sworn affidavit.
	A This is my affidavit undoubtedly.
	Q Are the contents true and correct.
	A They are true and correct.
	MR. ROBERTS: I offer defense document
	1513 in evidence.
	THE PRESIDENT: Admitted on the usual terms.
	CLERK OF THE COURT: Defense document 1513
	will receive exhibit No. 2992.
	(Whereupon, the document above
	referred to was marked defense exhibit
	No. 2992 and received in evidence.)
	MR. ROBERTS: I read exhibit No. 2992:
	"1. My name is KONDO, Shunsuke. I live in
	Tokyo. I served as Chief of the Nanyo-Cho or the
	South Seas Bureau from April 1940 to November 1943.
	My headquarters during that period were on the Island
	of Palau. Some years before I took over the above
	position a so-called 10-year plan for the development
	of the Mandated Islands was commenced. It envisioned
	the construction of harbors, aviation facilities,
	light houses, wireless stations, meteorological
	stations, roads and fisheries facilities. These
	facilities were not in contemplation of war nor were

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they considered as either fortifications or military installations by the Bureau. They were actually necessities and of great cultural and commercial value to Japan in furthering the development of the Islands.

"2. The harbor work at Palau, Saipan, and Truk consisted of opening up the coral reefs, construction of wharves, erection of light houses and installing navigation aids, all with the object of assisting the merchant shipping. These works were commenced under my predecessors in office and were continued during my tenure. The aviation facilities which had been constructed consisted of an airfield for land planes on Saipan and ramps for seaplanes on Saipan, Truk, Palau and Jaluit, the principal ones being at Saipan and Palau. All of these facilities were constructed by the Nanyo-Cho or the South Seas Bureau with the object of establishing an air line between Japan proper and the Islands together with an interisland service between Saipan and Palau, Truk, Ponape and Jaluit. These air line services were undertaken by the Nippon Air Line Company. Although neither the planning or execution of the above mentioned project had been made at the request or under the direction of the Navy, some assistance was received from the Navy in

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the way of technical advice and in the procurement of materials and labor, and in the transportation. We would have been seriously handicapped or the task made completely impossible were it not for this assistance rendered us by the Navy.

"3. At the time I assumed my position there were about 100 foreigners living on the Islands, mostly Spanish missionaries and Chinese. I recall one American family on Kusai Island which had settled there from the preceding generation and were engaged in soap manufacturing. Travel between Japan proper and the Islands had actually been restricted from before my time. That restriction applied to foreigners and Japanese. There was a decided inadequacy of shipping accommodations, especially since so many merchantmen had been requisitioned after the outbreak of the China trouble. Besides there was a decided lack of lodging facilities, proper food supply and other accommodations which were necessary for existence on the Islands. Because of these circumstances, it is true that travels for the Islands were restricted to the minimum. Prior to December of 1941 I did not see any guns or other military installations. Actually many of the Japanese on the Islands were extremely uneasy as to what would happen to them in the event of

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hostilities and thought that there should be some measures taken to afford them security."

You may examine.

THE PRESIDENT: Brigadier Quilliam.

BRIGADIER QUILLIAM: May it please the

Tribunal, we do not wish to cross-examine.

THE PRESIDENT: The witness is released on the usual terms.

(Whereupon, the witness was excused.)

MR. ROBERTS: We call the "itness Junichi
OBARA.

JUNICHI OBARA, called as a witness on behalf of the defense, being first duly sworn, testified through Japanese interpreters as follows:

DIRECT EXAMINATION

BY MR. ROBERTS:

Q Please state your name and address.

A My name is OBARA, Junichi. My address: 60

1-Chome, Tamagawa Okusawa-machi, Setagaya-ku, Tokyo.

MR. ROBERTS: May the witness be shown

defense document 1515.

Q Please examine that document and tell us

whether or not it is your sworn affidavit.

A This is my affidavit without mistake.

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It is correct.

MR. ROBERTS: I offer defense document 1515 in evidence.

THE PRESIDENT: Admitted on the usual terms. CLERK OF THE COURT: Defense document 1515 will receive exhibit No. 2993.

(Whereupon, the document above referred to was marked defense exhibit No. 2993 and received in evidence.) MR. ROBERTS: I read exhibit No. 2993:

"1. My name is OBARA, Junichi. I formerly was employed by the Nanyo Kohatsu Kaisha which is the South Seas Promotion and Development Joint Stock Company. Prior to June 1941 I was head of the company's sugar manufactory on Tinian Island. I then was transferred to the company's branch office on Saipan as a director of the company having over-all charge of the sugar industry on Saipan, Tinian and Rota. I reached Saipan in August of 1941. Except for short trips I was on Saipan until 1944, at which time I returned to Japan.

"2. With the background I have given as to the nature of my work and my stay on Saipan I feel confident there is little I did not know about the Island. The Island was certainly not fortified before

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December 8, 1941, at the outbreak of war. Even after that time and immediately before the American attack there were no effective defenses constructed.

"Here is the actual condition of Saipan on
December 8, 1941: There was an airfield at Aslito
which consisted of a thin coating of concrete spread over
the airstrip. It was begun in 1934 and completed in
1935. In 1939 it was increased in size, the work being
done by our company. There was also a small seaplane
ramp consisting of two small hangars capable of housing
one plane apiece. There was a small warehouse for
spare parts and a few crude buildings serving as lodging quarters for the employees of the field.

"There were no oil tanks on Saipan capable of holding oil at the time of the outbreak of war. There were some tanks in the process of being constructed and which were not completed until well into 1942. After the outbreak of war some guns were moved into Agikan and Nafutan. Some time later, those guns were removed and wooden guns put in their place. I saw one wooden gun being installed.

"Actually, the situation on Saipan was such that an attack at the time of the outbreak of war would have found the Island entirely helpless. Tinian and Rota were in a like condition. It is ridiculous to say

these Islands were fortified unless the information I have given warrants that conclusion.

portedly made by WAKAMATSU, Makoto. (Prosecution exhibit 884). I know him well since he served under me as a technician in our company's sugar factory on Tinian for about two years. After he was transferred to Saipan hardly a day went by that I did not see him in connection with the company's work. Having been asked if I agree with the information in his alleged affidavit I most emphatically say I do not.

my disagreement with the statement. There were no military installations in the vicinity of the Aslito field; there were no concrete trenches and shelters around the field; there were no coastal guns located on Agingan Point and Nafutan Point, or any other place prior to the commencement of hostilities. I cannot believe WAKAMATSU was in a better position than I to make these observations and hence I seriously question the accuracy of the statement.

"4. As to the statement contained in prosecution exhibit 890, that construction on five barrack buildings to house approximately 3,000 persons was begun in 1938, I can only say it is entirely false.

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When military personnel came to the Island in March 1944 my own house and half of the company's structures were turned over to them because of lack of barracks. Even then part of the troops had to live in tents.

"5. I know nothing about restrictions on foreigners visiting Saipan, Tinian and the nearby Islands. I do know that the Nanyo Trading Company which had an office in Guam pulled out in 1933 because of numerous trade restrictions and that in 1936, I believe, Japanese were prohibited by American authorities from going there."

You may cross-examine.

THE PRESIDENT: Brigadier Quilliam.

BRIGADIER QUILLIAM: May it please the Tribunal, we do not wish to cross-examine the witness.

MR. ROBERTS: May the witness be excused on the usual terms.

THE PRESIDENT: He is excused accordingly.

(Whereupon, the witness was excused.)

MR. ROBERTS: We now call the witness

22 Misuburo MUKAWA.

DIRECT

MISUBURO MUKAWA, called as a witness
on behalf of the defense, being first duly sworn,
testified through Japanese interpreters as fol-
lows:
DIRECT EXAMINATION
BY MR. ROBERTS:
Q Will you please state your name and address?
A MUKAWA, Misuburo. My address is: 601 4-Chome
Koenji, Suginami-ku, Tokyo.
MR. ROBERTS: May the witness be shown
defense document 1517.
Q Please examine this document and tell us
whether or not it is your sworn affidavit?
A This is undoubtedly mine.
Q Are the contents true and correct?
A They are correct.
MR. ROBERTS: I offer in evidence defense
document No. 1517.
THE PRESIDENT: Admitted on the usual terms.
CLERK OF THE COURT: Defense document No. 151

(Whereupon, the document above referred to was marked defense exhibit No. 2994 and received in evidence.)

will receive exhibit No. 2994.

THE PRESIDENT: We will recess for fifteen

minutes. (Whereupon, at 1445, a recess was taken until 1500, after which the proceedings were resumed as follows:)

2994.

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MARSHAL OF THE COURT: The International Hillitary Tribunal for the Far East is now resumed.

THE PRESIDENT: Mr. Roberts.

IM. RODERTS: I proceed to read exhibit

in Tokyo. I have been connected with the South Seas Islands for many years, having gone to Truk Island in 1916 as an employee of the South Seas Trading Company. In 1926 I was transferred to Palau Island where I stayed until 1937. As vice-chief of the branch office I again returned to Truk where I remained until spring of 1939, when I returned to Tokyo.

"In September, 1941, I again wont to Palau as chief of the branch office. In August 1942 I became chief of the business office at Ambon where I remained until January 1944, at which time I returned to Japan.

"2. When I went to Truk in 1916 I found the headquarters of the South Sea Island Defenses with a certain number of armed personnel. Around 1918 or 1919 all of the forces were withdrawn and from that time up to 1926, when I left, and also from 1937 to 1939, during which time I resided again on the same island, there were no armed personnel,

military installations or fortifications.

1938, a resident naval officer was there, and with this exception there were no military personnel or military installations of any kind. In 1941, when I returned to Palau, there were still no military installations. Air travel was limited to sea planes operated by the Nippon Air Navigation Company. Even at the outbreak of war, the island appeared wholly defenseless, causing us great anxiety that disaster might lie in wake of this unpreparedness. After commencement of war some naval aircraft and naval personnel came to the island but no fixed military installations were constructed until August of 1942.

statements taken from various natives on the South
Sea Islands, which I was told were used in this court
to prove the construction of military installations.
Because of my long association with these peoples I
can truthfully say they, on the whole, were possessed
of poor mental faculties. Most of them are unable to
tell their age and even those educated in public
schools under the South Sea Board could count only
such simple numbers as were necessary for daily life.
Further, I did not find them trustworthy; they would

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lie on the slightest provocation or from nere ex-
pedience."
Signed, the 20th day of Hay, 1947.
You may cross-examine.
THE PRESIDENT: Drigadior Quilliam.
BRIGADIER QUILLIAM: May it please the
Tribunal, we do not cross-examine.
IR. RODERTS: Hey the witness be excused
on the regular terms?
THE PRESIDENT: He is excused accordingly.
(Thereupon, the witness was
excused.)
IR. RODERTS: We next call the witness
SUZUKI, Suguru.
The state of the s
SUGURU SUZUKI, called as a witness on
behalf of the defense, being first duly sworn,
testified through Japanese interpreters as
follows:
DIRECT EXALINATION
DY III. ROBERTS:
Q Please state your name and address.
A My name is SUZUKI, Suguru; my address,
105 Wakabayashi Machi, Setagaya-ku, Tokyo.
O May the witness be shown defense document

No. 1516?

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Is this document your sworn affidavit?

- A It is mine.
- Q Is it correct and true?
- A Yes.

IM. ROBERTS: I offer in evidence defense document No. 1516.

THE PRESIDENT: Brigadier Quilliam.

BRIGADIER QUILLIAM: May it please the Tribuncl, I wish to refer to page 5 of the English copy of the affidavit. It is submitted that the whole of that page and down to the end of the paragraph should be rejected on the ground of its being irrelevant and immaterial. It refers, in the first place, to alleged restrictions against Japanese and perhaps other people from visiting the American possession of Guan. Then there is a reference to some activity on the part of the United States flying boats over the area comprised within the Mandated Islands. This is followed by some rather vague charges in connection with alleged wireless obstructions, and then apparently it is alleged that in 1940 a United States warship behaved in a provocative way near one of the islands. In our submission, none of those matters have any value or any relevance to

this case, and even if they were accepted by the Tribunal as being true they couldn't affect the issue in any way at all.

THE PRESIDENT: Hr. Roberts.

of the fact that restrictions were placed upon travel to various of the Handated Islands. We have admitted, as the Court will recall, in some of the previous affidavits that some restrictions were imposed because of travel facilities, et cetera. Because the matter of restrictions was brought up by the prosecution, they would like the Court to make inference that something was wrong, something was being done. We would like through this witness to point out some of the other incidental factors concerning not only the Japanese Handated Islands but the Island of Guam and other islands where the situation was probably very similar.

THE PARSIDENT: The prosecution are alleging against you that you fortified these islands, or
that the Japanese did, in defiance of treaty obligations, and they give us evidence of the exclusion of
persons from the Japanese Mandated Islands to show
there was suppression. And suppression or concealment is always a relevant matter on a charge of crime.

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That is not met by proving that the United States excluded a single individual from the United States nandated territories for some reason or other.

I am corrected. The exclusion was not from United States mandated territory, but from United States territory.

adjacent to some of these Mandated Islands. I fee. that it is important for the Court to know what the circumstances were, what the environment was on all the islands, not only the Mandated Islands.

THE PRESIDENT: By a majority the objection is sustained and the paragraph of the document objected to is rejected. The balance is admitted on the usual terms.

CLERK OF THE COURT: Defense document 1516 will receive exhibit No. 2995.

(Whereupon, the document above referred to was marked defense exhibit

No. 2995 and received in evidence.)

MR. ROBERTS: I read exhibit 2995 as indicated.

"1. My name is SUZUKI, Suguru. I held
the rank of Commander in the Japanese Navy and
between the years 1937 and 1939 I served as Flying
Section Officer of the Yokohama Naval Air Force. I
was required to assist in the training of personnel
for the Nippon Airline Company, a privately-owned
concern which was planning to open a regular air
route to the South Seas area. It was planned to use
heavy flying boats which demanded that new personnel
be taught maintenance, air navigation and piloting
of such heavy craft. Since even the Navy itself
possessed few men with definite knowledge in this
field I was assigned to serve as instructor.

"2. In pursuit of my assignment, in August of 1938, I made an inspection tour of the inner South Seas Islam area visiting many of the Mandated Islands. Later, also in the course of my duties, it was necessary to fly directly down to the islands for the purpose of making a survey for potential landing facilities on the islands. I received no instructions from the Navy to assist in this work for the purpose of establishing potential military air fields and I emphatically emphasize the fact that they were

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considered only for civilian commercial purposes for the use of the Nippon Airline Company.

"3. In December of 1939 I was appointed Staff Officer of the newly-organized 4th Squadron of the Japanese Navy. My duties pertained to matters dealing with aviation and communications. Again I toured the inner South Seas area, this time aboard ship visiting many of the Mandated Islands. I made over-all studies of the Mandated Islands to the end of drafting plans for military defense that might be employed in the event of possible hostilities. did not mean that the intention was present to fortify the Mandated Islands but only that the necessary plans and preparations to properly defense said islands would be on hand and available in the event their use was necessary. Military men will understand this to be a necessary procedure. At no time during my many visits to the Mandated Islands which included Saipan, Kwajalein, Wotje, Jaluit, Taroa, Truk and Palau did I observe any military fortifications or constructions that might be classified as military installations. To the contrary, it appeared to me that Japan was being extremely lax in taking necessary precautionary measures which they rightfully could have done even under treaty specifications.

In April of 1940 I was relieved of my assignment and enrolled at the Naval Staff College as a student officer. Therefore, after this date I truthfully cannot testify from personal observations as to the conditions on the Mandated Islands. I can say that when I returned to Japan at this time I submitted a plan, in documentary form, to the Naval General Staff setting forth my ideas on potential defense preparations that should be made regarding the South Seas Islands area. It may well be that the blueprint map of potential installations on Wotje Island, dated August 10, 1940, and introduced as prosecution evidence in this case was the result of some of the suggestions made in my report. I wish to stress that they were merely plans of potential action to be taken and were not in any way concrete schedules to be actually executed.

"In regard to the establishing of air fields on several of the Mandated Islands, I wish to state that the Nippon Airline Company desired to begin trial flights on its new commercial run in September of 1938. In order to make this possible it was necessary to construct simple sea plane ramps to accommodate the 4-engine heavy flying craft. As I stated previously, the supply of pilots for this type of

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aircraft was extremely limited and that the only source of instruction for potential pilots had to come from the Navy. Even in the Navy itself there were very few men who could handle such large ships. The first group to receive training consisted of four pilots, two wireless operators, two mechanics and a number of ground mechanics. The number was gradually increased and by the end of 1939 eight pilots had completed the training course. They were all civilians, two of which were reserve naval petty officers. Landing facilities for the planes were established at Arakebesen Island in the Palau group and nearly completed in late 1938. At Saipan Island a temporary slip had been built and it was not until late 1938 that a concrete slip and a small-sized hangar was installed. If my memory serves me correctly there was an improvised air field on Saipan which was little more than a leveled-off bit of farm land. At Yap Island there were no facilities to lift or lower planes and only buoys were used.

"5. The Nippon Airline Company was a privately-owned concern receiving subsidies from the government. The reason for this was during this time it was difficult for the company to sustain itself in such an early period of development with income

dependent solely on passenger traffic and freight charges. Since it was deemed advisable, from purely a connercial point of view, to promote a rapid Covelopment of this type of air travel the government, but naturally, lent its assistance." You may cross-examine. THE PRESIDENT: Brigadier Quillian. BRIGADIER QUILLIAM: May it please the Tribunal, we do not wish to cross-examine. THE PRESIDENT: The witness is discharged on the usual terms. ("hereupon, the witness was excused.) MR. ROBERTS: We call the witness Yuzuru GOTO. YUZURU GOTO, called as a witness on behalf of the defense, being first duly sworn, testified through Japanese interpreters as follows: DIRECT EXAMINATION BY MR. ROBERTS: Please state your name and address.

A My name is GOTO, Yuzuru. My address, 336 Himi-machi, Yokosuka City.

Q Lay the witness be shown defense document No. 1692.

Please examine this document and tell us

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whether	or	not	it	is	your	sworn	affidavit.

- A This is mine undoubtedly.
- Q Is it true and correct?
- A Yes.

MR. ROBERTS: I offer in evidence defense document No. 1692.

THE PRESIDENT: Admitted on the usual terms.

CLERK OF THE COURT: Defense document 1692

will receive exhibit No. 2996.

(Whereupon, the document above referred to was marked defense exhibit

No. 2996 and received in evidence.)

IR. ROBERTS: I shall read exhibit 2996, but I would at this time like to call the Court's attention to the fact that the affidavit refers to certain prosecution exhibits, and we have placed these upon our order of proof for the Court's perusal, being exhibits No. 883, 885, 886, 888, 890, 891, and 893.

tent while other two has a capacity of about 1,000

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"1. My name is GOTO, Yuzuru. I live in Yokosuka City. I was formerly a naval engineer. I was at Palao Islands from October, 1937 to April, 1938 and at Saipan from October, 1939 to March, 1941.

"2. In Palao Islands I was engaged in the construction of the aerodrome at Peliliu. It was called the farm at that time. It was actually impossible to use it as an aerodrome, since nothing was done but ground-levelling by clearing the jungle and laying down coral. The construction of this aerodrome was undertaken by the South Seas Board, but the Navy gave assistance to that work. I was informed that the Navy's intention was to use it as a field for forced landings in time of manoeuvers.

"In Palao Islands there was already a seaplane ramp with some attached establishments, but it was merely utilized for the air-route of the Nippon Air Navigation Company, a private company, and was not for military purposes.

"3. In Saipan, mainly I supervised the work of oil-tank construction. The oil-tanks were five in all, three of them having a capacity of about 10,000 tons while the other two had a capacity of about 1,000 tons. None of them was however completed by the time I left there for Japan in March, 1941.

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"There were an aerodrome and a sea-plane ramp in Saipan, but the aerodrome was not sufficiently surfaced, had no hangar, no repair works and no radio station. It was not completed to be utilized as an aerodrome. The sea-plane ramp was used only by the Nippon Air Navigation Company as in Palao.

"During my stay in Palao and Saipan there were no military installations. The aerodromes and oil-tanks above referred to were the only things I saw that could in any way be the subject of controversy in this regard.

"Some natives were used as labourers in the construction of aerodromes or oil-tanks, but they were not compelled by corporal discipline, nor were they treated cruelly. Reasonable wages were paid to them.

"4. Manuel Blanco, in his Deposition (I.P.S. document No. 6022, Court exhibit No. 883), says that anti-aircraft guns and coast guns were installed at Asleto (T.N. Phonetic) in 1940. However, there were no gun installations at that time, He also states that there were air-raid shelter trenches and camouflaged hangars in 1938. There were nothing like these at that time,

"5. In the Deposition of Ignacio Benavente (I.P.S. Document No. 6019, Court exhibit No. 885) it is stated that he heard that a dump of ammunition and large

tanks were under construction near the Saipan Harbour in 1935. This is a mistake. The construction of large tanks was commenced after I arrived at Saipan in 1939 and not completed when I left in March of 1941 as I stated before.

"6. According to the Deposition of Elias
P. Sablan (I.P.S. document No. 6020, Court exhibit No.
886) the Japanese brought guns of about 10-inch caliber
to Saipan around 1937, and set them up in 1939 and
1940. However, there was no such fact. It must be that
he referred to the fact that guns for manoeuvers were
landed temporarily.

"In the same Deposition, he states that enforced labour of natives began in 1939. It is true that natives were engaged in the construction work, but they were never used severely. Two yen a day was no small pay at that time.

"7. Antonio Angailen, in his Deposition
(I.P.S. document No. 6025, Court exhibit No. 888),
states that Japanese gun-emplacements were laid in 1940.
But there was no such fact as this by the time I left
Saipan in March, 1941.

"8. Concepcion Blanco, in his Deposition (I.P.S. document No. 6021, Court exhibit 890), states that five barracks having a capacity of about 3,000

military and naval men were constructed in 1938.

However there were only two or three barracks having a capacity of about 100 persons, and there were no military or naval men.

Deposition (I.P.S document No. 6017, Court exhibit
No. 891), states that in Saipan there were six underground oil-tanks in 1937. However, the construction of
oil-tanks in Saipan was for the first time undertaken
after I arrived there in 1939. He states also that
gun-emplacements were laid in 1939. This is not true.

"10. Jose S. Pangelinan, in his Deposition
(I.P.S. document No. 6018, Court exhibit 893), states
that in Saipan there were 2 hangars containing big and
small airplanes, 1 big concrete shelter containing all
kinds of explosives, bullets and bombs, 2 or 3 aircraft
repair-shops, and 8 or 10 big barracks in 1940. He
must refer to facts after the outbreak of the War. In
1940, there were no such constructions except two small
hangars containing one seaplane and two or three small
buildings. There was no big barracks, furthermore there
was not a military or neval troop. There were no
repair works and no shelter trenches.

"On this 17th day of May, 1947."
You may cross-examine.

THE PRESIDENT: Brigadier Quilliam. BRIGADIER QUILLIAM: May it please the Tribunal, there will be no cross-examination. MR. ROBERTS: May the witness be excused on. the usual terms? THE PRESIDENT: He is excused accordingly. (Whereupon, the witness was excused.) -MR. ROBEF'S: Mr. Brannon will proceed. THE PRESIDENT: Mr. Brannon. MR. BRANNON: We call next the witness Asashichi IWASAKI.

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ASASHICHI IWASAKI, called as a witness on behalf of the defense, being 3 first duly sworn, testified through Jap-4 anese interpreters as follows: 5 MR. BRANNON: Will you please hand the witness 6 defense document 1521? 7 (Whercupon, a document was handed to 8 the witness.) 9 THE PRESIDENT: We want his name and address, 10 Mr. Brannon. 11 DIRECT EXAMINATION 12 BY MR. BRANNON: 13 Will you state your name and address, please? Q 14 My name is IWASAKI, Asashichi. My address is 15 1933 of 3 Kanamachi, Katsushika-ku, Tokyo. 16 Will you please look at defense document 1521 17 and tell the Tribunal if that is your affidavit? 18 This is undoubtedly mine. 19 20 Is it true and correct? Q 21 It is true. 22 MR. BRANNON: We offer in evidence defense document 1521, which is the testimony of the witness, 23 24 IWASAKI, who drafted the blueprint map of Wotje Island

THE PRESIDENT: Admitted on the usual terms.

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bearing prosecution exhibit No. 1253-C.

CLERK OF THE COURT: Defense document 1521 will receive exhibit No. 2997. (Whereupon, the document above re-ferred to was marked defense exhibit No. 2997 and reseived in evidence.) .12

MR. BRANNON: I read the affidavit of IWASAKI Asashichi:

"1. My name is IWASAKI, Asashichi. I reside in Tokyo. From September 1st, 1928 until October 15th, 1945 I was employed as an assistant engineer in the Naval Construction Division of the YOKOSUKA Naval Station. My duties encompassed the supervision and planning of various blueprint maps for potential naval construction.

"2. Some time around August 1940, my office received a routine order from the Naval General Staff to prepare a blueprint draft os some building constructions on Wotje Island. I supervised the making of this blueprint which was done by my assistants. It was completed in approximately three days. My reference to the making of this blueprint as a routine order was because it was quite customary for my office to receive various assignments for blueprint construction in order to give the Navy definite and accurate information on which to base their requests for the Naval Budget around April 1st of each year. We had yearly work assignment sheets which were put on the shelf after completion and never used.

"3. The particular blueprint prepared by my assistants and myself pertaining to Wotje Island is

the same one which is in evidence before this Court as prosecution exhibit 1253-C. This blueprint is not the kind to be used in actual construction of buildings. The Execution Plan or the plan from which actual construction would be made consists of a different kind of paper. If this blueprint had actually been the one that had been used in constructing the buildings on Wotje Island, the identification box in the lower right hand corner would show the name of the engineer actually in charge of executing the plan. I have with me the type of form that is used in making actual blueprints from which construction would be made and point out that in the lower right hand corner the form is entirely different, as I stated before."

BY MR. BRANNON (Continued):

Q Do you have that with you now?

A I do.

MR. BRANNON: If the prosecution would care to look at that, it is available; also the Tribunal.

A (Continuing) I have it here with me now. I can present it.

MR. BRANNON: (Reading continued) "I did not undertake the plans for construction that were actually to be utilized as direct building plans. That type of work was done by special personnel handling final

execution plans.

"In either August or September of 1941, I recall that a staff officer came to my office and asked for this particular map which I gave to him personally. It is quite possible that this planning map would be used as the basis for the construction of an execution plan. It would take approximately three to five months of concentrated effort to construct the various buildings and projects set forth in this blueprint. It will be noted that the blueprint shows only the location of the objectives to be achieved and not the dimensions that are actually necessary for construction. The ordinary practice involved would be to take this blueprint map to the Island of Wotje, make a spot investigation, and then return and commence the drafting of the actual execution plan.

"5. From viewing the blueprint map which I prepared and the aerial photographs of Wotje Island, prosecution exhibits 1253-A and 1253-B, I note a discrepancy in the outlines of the airfield itself. It is also impossible for me to tell from looking at the aerial photograph what the various constructions consist of. While I am not an expert in the matter of reading aerial photographs, I must say that I cannot identify the items mentioned on the blueprint map as being those in the photograph."

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or piece of paper which the defense contends is usually used in construction work of this kind. I did not offer it in evidence or attempt to distribute it for the reason that I will do so only if his credibility is attacked on that point. You may examine.

Mr. President, he has with him another form

THE PRESIDENT: Brigadier Quilliam.

BRIGADIER QUILLIAM: There will be no crossexamination, if it please your Honor.

THE PRESIDENT: The witness is released on the usual terms.

(Whereupon the witness was excused.)

MR. BRANNON: At this time, I call the Tribunal's attention to prosecution exhibit No. 1253-A which consists of an explanation of the American Naval officer who compared the blueprint of Wotje Island with the Aerial Reconnaissance photograph. Exhibits 1253-A, B, and C, I believe, are identical. If the Tribunal will turn to page 4 of exhibit 1253-A, I wish to refer to one paragraph thereon, the third paragraph starting with the words, "Several gun positions." I read as "Several gun positions (notably the northern three gun dual-purpose battery) are in slightly -- "

THE MONITOR: Mr. Brannon, we do not have

the exhibit referred to, 1253-A, so we will have to do it on relay.

MR. BRANNON: All right.

THE PRESIDENT: It is called exhibit No. 1253-A, and it is on page 4 of that. It is defense document 6245-A --

MR. BRANNON: Prosecution document.

THE PRESIDENT: -- prosecution document 6245-A.

It is sufficient to refer it to us. We don't want this delay.

MR. BRANNON: I referred simply to this -this has not yet been read into the record by the prosecution.

THE PRESIDENT: Read it now.

MR. BRANNON: The words in that paragraph, "thus confirming the supposition that the map shows proposed positions," is the thought that we call to the Court's attention.

We call the Tribunal's attention to prosecution exhibit 884, the alleged statement of one Makoto WAKAMATSU, Japanese national, relative to statements concerning Saipan and request that this statement be kept in readiness for his testimony which is now to follow.

We call the witness WAKAMATSU.

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1	MAKOTO WAKAMATSU, called as a witness
2	on behalf of the defense, being first duly sworn,
3	testified through Japanese interpreters as follows
4	DIRECT EXAMINATION
5	BY MR. BRANNON:
6	Q Will the witness please state his name and
7	address?
8	A My name is WAKAMATSU Makoto; my address is
9	774 Karuizawa, Karuisawa-Machi, Nagano Prefecture.
10	Q Will the witness please be handed defense
11	document No. 1728? Will you state whether that is
12	your affidavit?
13	(Whereupon, a document was handed
14	to the witness.)
15	A It is mine.
16	Q And, is it true and correct?
17	A It is correct.
18	MR. BRANNON: I offer in evidence defense
19	document No. 1728, the affidavit of Makoto WAKAMATSU.
21	THE PRESIDENT: Admitted on the usual terms.
22	CLERK OF THE COURT: Defense document 1728
23	will receive exhibit No. 2998.
24	(Whereupon, the document above
25	referred to was marked defense exhibit
	No. 2998 and received in evidence.)

THE PRESIDENT: It is a long affidavit, and 2 we will not complete it before the time for adjournment. . 3 We will adjourn now until half past nine tomorrow morning. (Whereupon, at 1555, an adjournment was taken until Friday, 22 August 1947, at 0930.)